The Polynomial Growth of an Operator Ideal

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joint work with

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$$\sum_{k=0}^{n} \frac{2k+1}{k+1} P_k^{(1,-1)}(x) = \frac{1}{1-x} \Big(2 - P_n(x) - P_{n+1}(x) \Big)$$

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▶ $P_0(x) = 1$



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- ▶ P₀(x) = 1
 ▶ P₁(x) = x



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- $\blacktriangleright P_1(x) = x$
- ► $P_2(x) = \frac{1}{2}(3x^2 1)$



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- $P_5(x) = \frac{1}{8}(15x 70x^3 + 63x^5)$



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Jacobi polynomials: ► $P_0^{(1,-1)}(x) = 1$ ▶ $P_1^{(1,-1)}(x) = 1 + x$ ► $P_2^{(1,-1)}(x) = \frac{3}{2}(x+x^2)$ • $P_3^{(1,-1)}(x) = \frac{1}{2}(-1 - x + 5x^2 + 5x^3)$ • $P_4^{(1,-1)}(x) = \frac{5}{8}(-3x - 3x^2 + 7x^3 + 7x^4)$ $\blacktriangleright P_5^{(1,-1)}(x) = \frac{3}{8}(1+x-14x^2-14x^3+21x^4+21x^5)$. . .

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How to prove this identity?

$$\sum_{k=0}^{n} \frac{2k+1}{k+1} P_k^{(1,-1)}(x) = \frac{1}{1-x} \Big(2 - P_n(x) - P_{n+1}(x) \Big)$$

How to prove this identity? \longrightarrow By induction!

$$\sum_{k=0}^{n} \frac{2k+1}{k+1} P_k^{(1,-1)}(x) - \frac{1}{1-x} \Big(2 - P_n(x) - P_{n+1}(x)\Big) = 0$$

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How to prove this identity? \longrightarrow By induction!

Compute a recurrence for the left hand side from the defining equations of its building blocks.

$$\sum_{k=0}^{n} \underbrace{\frac{2k+1}{k+1}}_{\text{recurrence}} P_{k}^{(1,-1)}(x) - \frac{1}{1-x} \Big(2 - P_{n}(x) - P_{n+1}(x)\Big) = 0$$

$$\sum_{k=0}^{n} \underbrace{\frac{2k+1}{k+1}}_{\substack{\text{recurrence} \\ \text{of order 1}}} \underbrace{P_{k}^{(1,-1)}(x)}_{\substack{\text{recurrence} \\ \text{of order 2}}} - \frac{1}{1-x} \Big(2 - P_{n}(x) - P_{n+1}(x)\Big) = 0$$

 $\sum_{k=0}^{n} \frac{2k+1}{k+1} P_k^{(1,-1)}(x) - \frac{1}{1-x} \Big(2 - P_n(x) - P_{n+1}(x)\Big) = 0$ recurrence recurrence of order 1 of order 2 recurrence of order 2





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$$\sum_{k=0}^{n} \frac{2k+1}{k+1} P_k^{(1,-1)}(x) - \frac{1}{1-x} \Big(2 - P_n(x) - P_{n+1}(x)\Big) = 0$$

$$\begin{split} \operatorname{lhs}(n+7) &= (\cdots \operatorname{\mathsf{messy}} \cdots) \operatorname{lhs}(n+6) \\ &+ (\cdots \operatorname{\mathsf{messy}} \cdots) \operatorname{lhs}(n+5) \\ &+ (\cdots \operatorname{\mathsf{messy}} \cdots) \operatorname{lhs}(n+4) \\ &+ (\cdots \operatorname{\mathsf{messy}} \cdots) \operatorname{lhs}(n+3) \\ &+ (\cdots \operatorname{\mathsf{messy}} \cdots) \operatorname{lhs}(n+2) \\ &+ (\cdots \operatorname{\mathsf{messy}} \cdots) \operatorname{lhs}(n+1) \\ &+ (\cdots \operatorname{\mathsf{messy}} \cdots) \operatorname{lhs}(n) \end{split}$$

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Therefore the identity holds for all $n \in \mathbb{N}$ if and only if it holds for $n = 0, 1, 2, \dots, 6$. *Definition:* A sequence f_n is *D-finite* if it satisfies a linear recurrence equation with polynomial coefficients:

$$p_r(n)f_{n+r} + p_{r-1}(n)f_{n+r-1} + \dots + p_0(n)f_n = 0.$$
$$p_r(n)f_{n+r} + p_{r-1}(n)f_{n+r-1} + \dots + p_0(n)f_n = 0.$$

Main fact: For every $R \in \mathbb{N}$ there are rational functions q_0, \ldots, q_{r-1} such that

$$f_{n+R} = q_0(n)f_n + \dots + q_{r-1}(n)f_{n+r-1}.$$

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Main consequence: If f_n and g_n are D-finite then so are

$$f_n + g_n, \qquad f_n g_n, \qquad \sum_{k=0}^n f_k, \qquad \dots$$

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Equations for each of those can be computed from equations for f_n and g_n .

Definition: A function f(x) is *D-finite* if it satisfies a linear differential equation with polynomial coefficients:

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How about multivariate sequences $f_{n,k}$? Also a multivariate recurrence for $f_{n,k}$ like

$$p_{2,2}(n,k)f_{n+2,k+2} + p_{0,3}(n,k)f_{n,k+3} + p_{1,2}(n,k)f_{n+1,k+2} + p_{1,0}(n,k)f_{n+1,k} + p_{3,1}(n,k)f_{n+3,k+1} = 0$$

can be used for reducing a term $f_{n+U,k+V}$ to "smaller" ones.









































































































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- ▶ If not, we say the system is a *Gröbner basis*.
- From now on, all systems are assumed to be Gröbner bases.

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f(x, y) is *D-finite* if it satisfies a system of multivariate differential equations with polynomial coefficients of this form.

Main feature: If $f_{n,k}$ and $g_{n,k}$ are D-finite then so are

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Defining systems for all these can be computed from defining systems of f and g.

$$f_{n_1,n_2,...,n_s}(x_1,x_2,...,x_r)$$

depending on any number \boldsymbol{s} of discrete and any number \boldsymbol{r} of continuous variables.

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The only requirement is to have enough equations that there are only *finitely many* points under the stairs.

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Question: Is this requirement really necessary?

Answer: No!

We can exploit that in general $\infty \neq \infty$.















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What the hell means $\operatorname{pol} A(f)$?

Answer: It's a number we call the *polynomial growth* of A(f).





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- Their least common multiple is a certain polynomial $P_d(n,k)$.
- If deg P_d(n, k) = O(d^p) (d → ∞), then the system is said to have polynomial growth p.

 ${} \ensuremath{\bigcirc}$ If $f_{n,k}$ is hypergeometric then

$$\operatorname{pol} A(f) = 1 \quad \iff \quad f_{n,k} \text{ is proper}$$



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