Proving and Finding Algebraic Dependencies of Combinatorial Sequences

> Manuel Kauers RISC-Linz

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Consequence: The set of all algebraic relations forms a radical ideal.

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Summary: $\{p \in \mathbb{K}[x_1, \dots, x_n] : p(f_1, \dots, f_m) \equiv 0\} = \ker \phi = I(P).$

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Exercise 6.81: (Graham/Knuth/Patashnik) Let P(x, y) be a polynomial in x and y with integer coefficients. Find a necessary and sufficient condition that $P(F_{n+1}, F_n) = 0$ for all $n \ge 0$.

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$$\mathfrak{a} = \langle (x^2 - xy - y^2 - 1)(x^2 - xy - y^2 + 1) \rangle$$
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Based on the geometric interpretation, it is straightforward to prove that ${\mathfrak a}$ is really the ideal claimed above.



Because they can be used for doing summation!

Let $u, v, p, q \in \mathbb{K}[x_1, \dots, x_m]$ and $f_1(k), \dots, f_m(k) \in \mathbb{K}^{\mathbb{N}}$ be such that

$$\sum_{k=0}^{n} \frac{u(f_1(k), \dots, f_m(k))}{v(f_1(k), \dots, f_m(k))} = \frac{p(f_1(n), \dots, f_m(n))}{q(f_1(n), \dots, f_m(n))}.$$

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Then

$$qig(f_1(n),\ldots,f_m(n)ig)S(n)-pig(f_1(n),\ldots,f_m(n)ig)=0$$

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Consequence: If we can prove [discover] algebraic relations for a certain class of sequences, then we can prove [discover] summation identities for that class.

Program for today

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Proving Algebraic Relations automatically

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- Proving Algebraic Relations automatically
- Discovering Algebraic Relations automatically

1. Proving Algebraic Relations


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(Trivial Gröbner basis computation if we knew \mathfrak{a} . But in general, we don't.)

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We assume that the sequences are defined by a system of difference equations of the form

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(We assume that application of the recurrence equations will never lead to a division by zero.)

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$$f_1(n+1) = f(n)f_1(n-1) + f_1(n)f(n-1) - f_1(n)f_1(n-1)$$

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The class is closed under the following operations:

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Example:

$$\sum_{k=0}^{n} \frac{\left(\sum_{i=0}^{3k+1} \frac{i+1}{i!+(-2)^{i}}\right)^{17} + K_{i=1}^{2k}(2^{2^{i}}; F_{F_{i}}) + 2H_{k}}{\left(P_{k}^{(a,b)}(x) + \prod_{i=1}^{\lfloor k/3 \rfloor} P_{i}^{(b,a)}(x)\right)(3^{F_{k}} + F_{3^{k}})} \binom{2k}{k}$$

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Deciding whether p is an algebraic relation is hence nothing more than deciding *zero equivalence* of an admissible sequence.

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For this, it is clearly sufficient if \boldsymbol{N} is such that

$$\forall n \in \mathbb{N} : (f(n) = \mathbf{0} \land \dots \land f(n+N-1) = \mathbf{0} \Rightarrow f(n+N) = \mathbf{0}).$$
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This can be decided with Gröbner bases:

$$x_N \stackrel{?}{\in} \mathsf{Rad}\langle p_1, \ldots, p_k, x_0, \ldots, x_{N-1} \rangle.$$

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Suitable polynomials p_i can be obtained form the defining recurrence equation system of f(n)

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2. Evaluate $f(0), \ldots, f(N)$ and compare them to zero.

Let us show that

$$\forall n \in \mathbb{N} : (F_{n+1}^2 - F_{n+1}F_n - F_n^2 - 1)(F_{n+1}^2 - F_{n+1}F_n - F_n^2 + 1) = 0,$$

where F_n are again the Fibonacci numbers.

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Introduce variables x_0, x_1, x_2, \ldots representing the terms $F_n, F_{n+1}, F_{n+2}, \ldots$

Let us show that

$$\forall n \in \mathbb{N} : (F_{n+1}^2 - F_{n+1}F_n - F_n^2 - 1)(F_{n+1}^2 - F_{n+1}F_n - F_n^2 + 1) = 0,$$

where F_n are again the Fibonacci numbers.

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This is false.

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where F_n are again the Fibonacci numbers.

Introduce variables x_0, x_1, x_2, \ldots representing the terms $F_n, F_{n+1}, F_{n+2}, \ldots$

N = 1:

$$\begin{aligned} (x_2^2 - x_2 x_1 - x_1^2 - 1)(x_2^2 - x_2 x_1 - x_1^2 + 1) \\ &\in \mathsf{Rad}\langle x_2 - x_1 - x_0, \\ & (x_1^2 - x_1 x_0 - x_0^2 - 1)(x_1^2 - x_1 x_0 - x_0^2 + 1) \rangle \end{aligned}$$

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This is true.

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$$\forall n \in \mathbb{N} : (F_{n+1}^2 - F_{n+1}F_n - F_n^2 - 1)(F_{n+1}^2 - F_{n+1}F_n - F_n^2 + 1) = 0,$$

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Introduce variables x_0, x_1, x_2, \ldots representing the terms $F_n, F_{n+1}, F_{n+2}, \ldots$

Checking of a single initial value completes the proof:

$$(F_{1+1}^2 - F_{1+1}F_1 - F_1^2 - 1)(F_{1+1}^2 - F_{1+1}F_1 - F_1^2 + 1)$$

= (1 - 1 - 1 - 1)(1 - 1 - 1 + 1) = 0.

2. Finding Algebraic Relations

| INPUT: | |
|--------|--|
| | |
| | |
| | |
| | |

INPUT:

• Sequences $f_1(n), \ldots, f_m(n)$ over \mathbb{K} .

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 - ▶ Polynomials $p_1, \ldots, p_k \in \mathbb{K}[x_1, \ldots, x_m]$ which generate the ideal of algebraic relations amongst the $f_i(n)$.

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We consider the same class of sequences as before.

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From now on, let $f_1(n), \ldots, f_m(n)$ be given, and let $\mathfrak{a} \leq \mathbb{K}[x_1, \ldots, x_m]$ be the ideal of their algebraic relations.

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We consider the same class of sequences as before.

From now on, let $f_1(n), \ldots, f_m(n)$ be given, and let $\mathfrak{a} \leq \mathbb{K}[x_1, \ldots, x_m]$ be the ideal of their algebraic relations. We want to find a basis for \mathfrak{a} .

Recall:

$$V(\mathfrak{a}) = \overline{\{(f_1(n), \ldots, f_m(n)) : n \in \mathbb{N}\}}$$

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Theorem: For sufficiently large N, a Gröbner basis for \mathfrak{a}_N will contain a Gröbner basis for \mathfrak{a} .

$$\mathfrak{a}_N := \bigcap_{n=1}^N \langle x - F_{n+1}, y - F_n \rangle$$



... for
$$N = 1$$
.

$$\mathfrak{a}_N := \bigcap_{n=1}^N \langle x - F_{n+1}, y - F_n \rangle$$



$$\ldots$$
 for $N = 2$.

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... for
$$N = 3$$
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... for
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... for
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... for
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... for
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... for
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... for
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... for
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... for
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... for
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The cone of x^4 will not disappear as $N \rightarrow \infty$, because it belongs to a generator of \mathfrak{a} .

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Remark: A Gröbner basis for a_N can be efficiently computed by the Buchberger-Möller algorithm.

For $d \in \mathbb{N}$, let now $\mathfrak{a}_d := \langle p \in \mathfrak{a} : \deg p < d \rangle$.

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$$p = \sum_{\substack{0 \le e_1, \dots, e_m \\ m \le d}} a_{e_1, \dots, e_m} x_1^{e_1} x_2^{e_2} \dots x_m^{e_m}.$$



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Note: If d is sufficiently large, then $\mathfrak{a}_d = \mathfrak{a}$.

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Theorem: If there exists an algorithm, which computes, in a finite number of steps, a basis for the ideal of algebraic relations among $f_1(n), \ldots, f_m(n)$, then there exists an algorithm which decides

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Deciding the existence of roots is very difficult.

The C-finite Case (joint work with B. Zimmermann)

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$$f(n+r) = a_0 f(n) + a_1 f(n+1) + \dots + a_{r-1} f(n+r-1)$$

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Then, a basis of a can be computed from defining recurrence equations and initial values of the $f_i(n)$.

Consequence: In this class, we can also prove automatically that certain quantities are *not* related.

3. An Example

A sequence C_n satisfying a nonlinear recurrence of the form

$$C_{n+r}C_n = \alpha_1 C_{n+r-1}C_{n+1} + \alpha_2 C_{n+r-2}C_{n+2} + \cdots$$
$$\cdots + \alpha_{\lfloor r/2 \rfloor}C_{n+r-\lfloor r/2 \rfloor}C_{n+\lfloor r/2 \rfloor}$$

with $r \in \mathbb{N}$ fixed and $\alpha_1, \ldots, \alpha_{\lfloor r/2 \rfloor}$ is called a *Somos sequence* of order r.

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Example: Consider C_n defined via

$$C_{n+4}C_n = C_{n+3}C_{n+1} + C_{n+2}^2, \qquad C_0 = C_1 = C_2 = C_3 = 1.$$

Does this sequence satisfy a Somos-like recurrence of orders 5, 6, 7, 8?

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Reduction modulo a gives

$$x_5x_0 - a_1x_4x_1 - a_2x_3x_2 \longrightarrow_{\mathfrak{a}} (1 - \frac{1}{5}a_2)x_0x_5 - (a_1 + \frac{1}{5}a_2)x_1x_4$$

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Comparing coefficients gives $a_1 = -1, a_2 = 5$.



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- It can, however, be obtained for the small class of C-finite sequences.
- All this stuff is implemented in a Mathematica package.