Computer Algebra Proofs for Combinatorial Inequalities and Identities

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Identities involving sums and products

$$\sum_{k=1}^{n} \sum_{i=1}^{k} \frac{1}{i} = -n + (n+1) \sum_{k=1}^{n} \frac{1}{k} \qquad (n \ge 1)$$

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- Identities with orthogonal polynomials, double exponential sequences, ...
- Routines are desired which not only prove but also find such identities.

Inequalities involving sums and products

$$\prod_{k=0}^{n} \frac{3k+4}{3k+2} > 1 + \frac{2}{3} \sum_{k=1}^{n+1} \frac{1}{k} \qquad (n \ge 1)$$

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Inequalities about the Fibonacci numbers

$$\sum_{k=1}^{n} \frac{(2F_{k+1} - F_k)^2}{F_k} \ge \frac{(3F_{n+1} + F_n - 3)^2}{F_{n+2} - 1} \quad (n \ge 2)$$

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Inequalities like

$$\left(\sum_{k=1}^{n}\sqrt{k}\right)^{2} \stackrel{?}{\stackrel{<}{\scriptscriptstyle{>}}} \left(\sum_{k=1}^{n}\sqrt[3]{k}\right)^{3}$$
 $(n \ge 1)$

• "Combinatorial" here just means that the inequality depends on a discrete parameter n. Inequalities like $\sin x < x$ ($x \ge 0$) are out of scope.

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Proving Combinatorial Identities

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 - Gosper's algorithm

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Generating Function Algorithms (remember Paule's talk)

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- ... variations and generalizations of those ...
- Generating Function Algorithms (remember Paule's talk)
- Today: An algorithm for proving identities, which is applicable to a much larger input class.

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• Idea: Find an $N \ge 0$ such that

$$(\forall n \ge 0 : f_n = 0) \iff (f_0 = f_1 = \dots = f_{N-1} = 0).$$

Then zero equivalence of (f_n) can be decided by just evaluating the sequence at the first N points.

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• Clearly: Every $N \ge 0$ with

$$\forall n \geq 0 : (f_n = f_{n+1} = \dots = f_{n+N-1} = 0 \implies f_{n+N} = 0)$$
 does the job.

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▶ Proof: If N has this property and $f_0 = \cdots = f_{N-1} = 0$ then $f \equiv 0$ by induction. If not $f_0 = \cdots = f_{N-1} = 0$, then $f \neq 0$ anyway.

• Method: try for
$$N = 1, 2, 3, \ldots$$
 whether

$$\forall n \ge \mathbf{0} : (f_n = f_{n+1} = \dots = f_{n+N} = \mathbf{0} \implies f_{n+N+1} = \mathbf{0})$$

Stop as soon as this is the case and output the corresponding N.

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Sufficient: if

 $\forall x_0, \dots, x_N : x_0 = x_1 = \dots = x_{N-1} = 0 \implies x_N = 0$

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- But: This can hardly be true for any N, if x₀,..., x_N are independent.
- ► Here, we need not assume that x₀,..., x_N be independent! If (f_n) is defined via recurrence equations, then these equations give rise to known polynomial relations

$$p_1(x_0,\ldots,x_N)=\cdots=p_m(x_0,\ldots,x_N)=0$$

Thus we may deliver an N with

$$\forall x_0, \dots, x_{N+1} : (p_1 = \dots = p_m = x_0 = x_1 = \dots = x_N = 0)$$
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This can be decided using Gröbner Bases.

Example: Cassini's Identity

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• Introduce some variables x_i, y_i with the correspondence

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- Let's prove $F_{n+1}^2 F_n F_{n+2} = (-1)^n$.
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$$x_i \sim (F_{n+i}) \quad y_i \sim ((-1)^{n+i}) \qquad (i = 0, 1, 2, 3, \dots)$$

Then we know

$$x_2 = x_1 + x_0, \quad x_3 = x_2 + x_1, \quad x_4 = x_3 + x_2, \dots$$

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▶ First iteration (N = 0):

$$x_1^2 - x_0 x_2 - y_1 \stackrel{?}{\in} \mathsf{Rad}\langle x_2 - x_1 - x_0, y_1 + y_0, y_2 + y_1 \rangle$$

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Second iteration (N = 1):

$$x_2^2 - x_1 x_3 - y_2 \stackrel{?}{\in} \mathsf{Rad} \langle x_1^2 - x_0 x_2 - y_1, x_2 - x_1 - x_0, \\ x_3 - x_2 - x_1, y_1 + y_0, y_2 + y_1 \rangle$$

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> The proof is completed by checking the claim for n = 0.

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$$g_{n+2} = \mathsf{rat}_g(g_n, g_{n+1})$$

g_n	g_{n+1}	g_{n+2}	g_{n+3}	g_{n+4}	
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- ▶ *Theorem.* For all sequences from this class, the algorithm described before terminates (i.e., a value N is always found).
- ▶ In particular: Zero equivalence is decidable for this class.

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RISC-Linz

Proving Combinatorial Inequalities

Known Algorithms for Proving Inequalities

Known Algorithms for Proving Inequalities



Known Algorithms for Proving Inequalities



 Today: A method for proving inequalities, which succeeds for a great many instances.

RISC-Linz

Manuel Kauers
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▶ Proof: If N has this property and $f_0 > 0, ..., f_{N-1} > 0$ then f > 0 by induction. If not $f_0 > 0, ..., f_{N-1} > 0$, then $f \neq 0$ anyway.

• Method: try for
$$N = 1, 2, 3, \ldots$$
 whether

 $\forall n \ge \mathbf{0} : (f_n > \mathbf{0} \land \dots \land f_{n+N} > \mathbf{0} \implies f_{n+N+1} > \mathbf{0})$

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- Again, we assume knowledge (e.g., defining recurrences) about (f_n) to be given, and extend the hypothesis accordingly.
- This knowledge may be anything that gives rise to polynomial (in)equalities for the x_i.

Thus we may deliver an N with

$$\forall x_0, \dots, x_{N+1} : (p_1 \leq 0, \dots, p_m \leq 0, x_0 > 0, \dots, x_N > 0)$$
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- ► This can be decided using Cylindrical Algebraic Decomposition.
- The method can be applied to the same class of sequences as the identity prover explained before.

• Let's prove $(z+1)^n \ge 1+nz$ for $z \ge -1$, $n \ge 0$.

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Then we know

$$x_1 = (z+1)x_0, \quad x_2 = (z+1)x_1, \quad x_3 = (z+1)x_2, \dots$$

 $y_1 = y_0 + 1, \qquad y_2 = y_1 + 1, \qquad y_3 = y_2 + 1, \dots$

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$$\forall x_{\mathbf{0}}, y_{\mathbf{0}}, z : z \ge -1 \implies x_{\mathbf{0}} \ge 1 + y_{\mathbf{0}} z$$

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► First iteration (N = 0):

$$\forall x_0, y_0, z : z \ge -1 \implies x_0 \ge 1 + y_0 z \quad \text{false.}$$

Second iteration (N = 1):

$$\forall x_0, y_0, x_1, y_1, z : z \ge -1 \land x_0 \ge 1 + y_0 z \land x_1 = (z+1) x_0 \\ \land y_1 = y_0 + 1 \implies x_1 \ge 1 + y_1 z$$

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• The proof is completed by checking the claim for n = 0.

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► The picture suggests that Bernoulli's inequality already holds for z ≥ -2. Is this true?

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Conclusion: We have generalized Bernoulli's inequality.

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 - It's just a method that often succeeds.