Guessing with Little Data



Manuel Kauers · Institute for Algebra · JKU

Joint work with Christoph Koutschan

What's the next term?

 $\overline{1,1},2,5,14,42$

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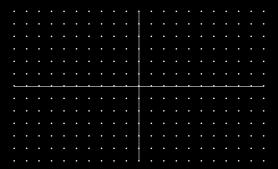
 $\overline{1, 1, 2, 5, 14, 42}, 135$

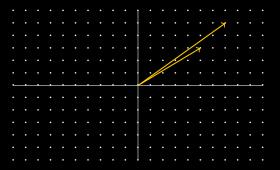
What's the exact value?

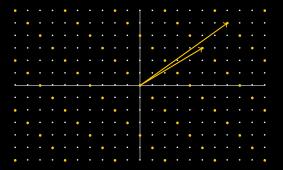
0.571429

What's the exact value?

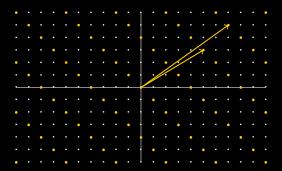
$$0.571429 \approx \frac{4}{7}$$



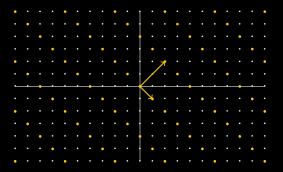




Input: A basis of a submodule L of \mathbb{Z}^n (aka "lattice") Output: A basis of L consisting of short vectors



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Want: $p, q \in \mathbb{Z}$ such that $|\frac{p}{q} - 0.5714286|$ is small.

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Want: $p, q \in \mathbb{Z}$ such that $p - 0.5714286q - \varepsilon = 0$.

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Want: $p, q \in \mathbb{Z}$ such that $10^7 p - 5714286q - 10^7 \varepsilon = 0$.

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An exact rational number can be recovered in this way from a numerical approximation provided that we have enough digits of accuracy.

Instantiate the ansatz

$$(c_{10} + c_{11} n) a_{n+1} + (c_{00} + c_{01} n) a_n \stackrel{!}{=} 0$$

for n = 0, ..., 3 to get a linear system for the four undetermined coefficients $c_{00}, c_{01}, c_{10}, c_{11}$.

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$$\begin{pmatrix} 1 & 0 & 1 & 0 \\ 1 & 1 & 2 & 2 \\ 2 & 4 & 5 & 10 \\ 5 & 15 & 14 & 42 \end{pmatrix} \begin{pmatrix} c_{00} \\ c_{01} \\ c_{10} \\ c_{11} \end{pmatrix} = 0$$

If we have #equations $\geq \#$ variables, we do not expect a solution.

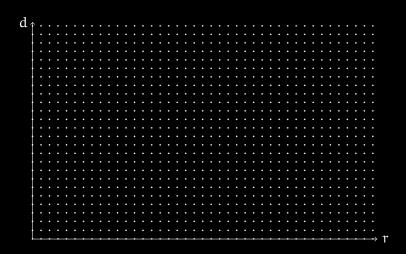
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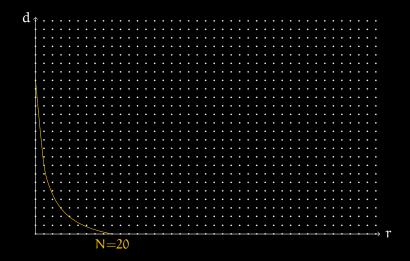
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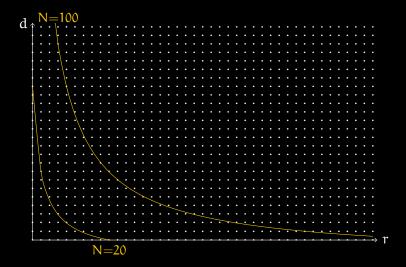
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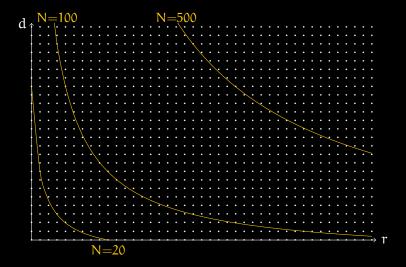
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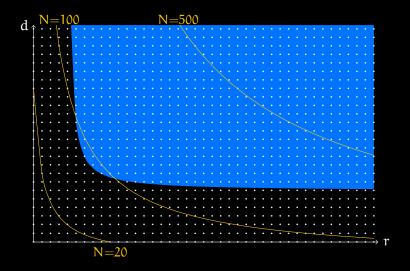
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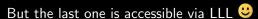
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$$\begin{pmatrix} 1 & 0 & 0 & 2 & 0 & 0 & 10 & 0 & 0 \\ 2 & 2 & 2 & 10 & 10 & 10 & 56 & 56 & 56 \\ 10 & 20 & 40 & 56 & 112 & 224 & 346 & 692 & 1384 \\ 56 & 168 & 504 & 346 & 1038 & 3114 & 2252 & 6756 & 20268 \\ 346 & 1384 & 5536 & 2252 & 9008 & 36032 & 15184 & 60736 & 242944 \end{pmatrix} \begin{vmatrix} \text{Co1} \\ \text{Co1} \\ \text{Co1} \\ \text{Co2} \\ \text{Co2} \\ \text{Co2} \\ \text{Co2} \\ \text{Co2} \end{vmatrix}$$

A basis for the \mathbb{Z} -module of all solutions in \mathbb{Z}^9 is

$$\begin{pmatrix} 2\\0\\0\\67069\\-52693\\-45994\\-13414\\13424\\5636 \end{pmatrix}, \begin{pmatrix} 0\\1\\2\\31310\\-182728\\-182728\\-158629\\-46262\\46300\\19438 \end{pmatrix}, \begin{pmatrix} 0\\0\\2\\232560\\-182728\\-159486\\-46512\\46500\\19543 \end{pmatrix}, \begin{pmatrix} 0\\0\\343140\\-341119\\-297729\\-86828\\86900\\36483 \end{pmatrix}$$

A basis for the \mathbb{Z} -module of all solutions in \mathbb{Z}^9 is

$$\begin{pmatrix} -8 \\ -16 \\ -8 \\ -16 \\ -21 \\ -7 \\ 4 \\ 4 \\ 1 \end{pmatrix}, \begin{pmatrix} -8 \\ 21 \\ -11 \\ -6 \\ -29 \\ 1 \\ 2 \\ 4 \\ 0 \end{pmatrix}, \begin{pmatrix} 12 \\ 12 \\ -34 \\ -46 \\ 27 \\ 21 \\ 8 \\ -6 \\ -2 \end{pmatrix}, \begin{pmatrix} -42 \\ 14 \\ 58 \\ -17 \\ 40 \\ 10 \\ -4 \\ -6 \end{pmatrix}$$

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Indeed,

$$(-8 - 16n - 8n^2)a_n + (-16 - 21n - 7n^2)a_{n+1} + (4 + 4n + n^2)a_{n+2} = 0$$

is a correct recurrence.

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It depends.

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Theorem (Siegel's lemma). For every $A \in \mathbb{Z}^{n \times m}$ with m > n there exists $x \in \ker_{\mathbb{Z}} A \setminus \{0\}$ with $\|x\|_{\infty} \leq (m \|A\|_{\infty})^{m/(m-n)}$.

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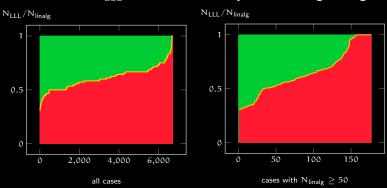
Recurrences arising in meaningful applications are not generic. What about these?

For some 6700 D-finite entries from the OEIS, we compared

- the number N_{linalg} of terms needed by linear algebra guessing.
- the number N_{LLL} of terms needed by LLL-based guessing.

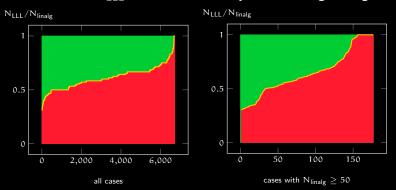
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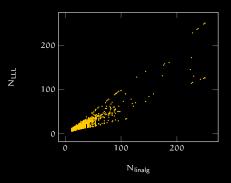
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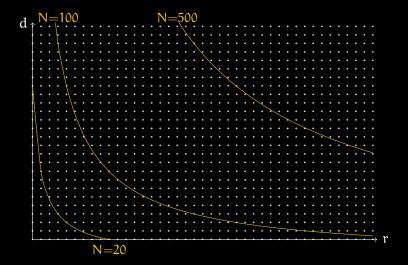
Observation: in some cases we only need a third of the data.

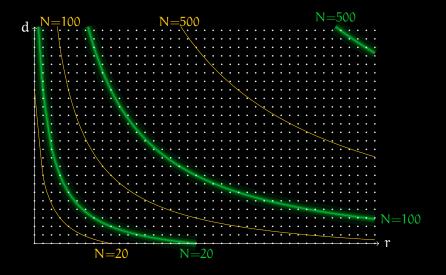
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Let's try some less prominent examples.

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In about 20 cases, we may have found something interesting.

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Only a_0, \ldots, a_{35} are known:

1,	222822578,
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2,	39282739034,
5,	581701775369,
18,	9202313110506,
75,	154873904848803,
410,	2762800622799362,
2729,	52071171437696453,
20906,	1033855049655584786,
181499,	21567640717569135515,
1763490,	471630531427793184474,
18943701,	10787660036599729160073

257590656485400508526570, 6409633590481106885238443, 165928838963556686281573922, 4462073606461933066205164757, 124470791290376112779747519538, 35970582486326674858347747444787, 107559658152025736992729145688602, 3324154021716716493547315823808809, 106067493846954075776733869818571690, 3490771207487802026912252686947947027, 118383998479651470880820236769742970626, 4133478159186775319059453592629838113797

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1763490,	471630531427793184474,
18943701,	10787660036599729160073

257590656485400508526570, 6409633590481106885238443, 165928838963556686281573922, 4462073606461933066205164757, 124470791290376112779747519538, 3597058248632667485834774744787, 107559658152025736992729145688602, 3324154021716716493547315823808809, 106067493846954075776733869818571690, 3490771207487802026912252686947947027, 118383998479651470880820236769742970626, 4133478159186775319059453592629838113797

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Example: $a_3 = 5$ because only one $\pi \in S_3$ is excluded.

$$\begin{pmatrix} 123 \\ 123 \end{pmatrix}$$
, $\begin{pmatrix} 123 \\ 132 \end{pmatrix}$, $\begin{pmatrix} 123 \\ 213 \end{pmatrix}$, $\begin{pmatrix} 123 \\ 231 \end{pmatrix}$, $\begin{pmatrix} 123 \\ 312 \end{pmatrix}$, $\begin{pmatrix} 123 \\ 321 \end{pmatrix}$.

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 Example: $a_4=18$ because six $\pi\in S_4$ are excluded.

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We found a trustworthy recurrence of order 10 and degree 6.

• At least the next 10000 sequence terms predicted by the guessed recurrence are integers.

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- The guessed operator has a right factor of order 8 and degree 11.

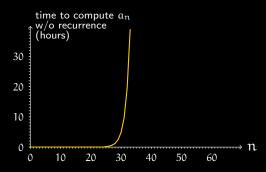
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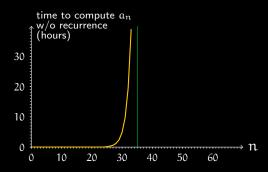
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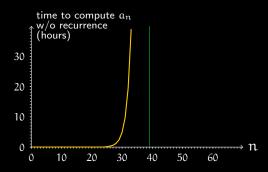
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- We computed a_{36} , a_{37} , a_{38} , a_{39} (!) and found that these terms were correctly predicted by the guessed recurrence.

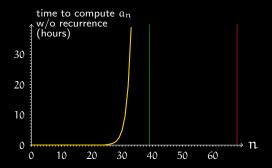
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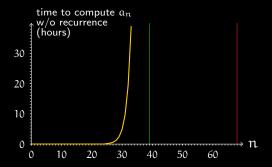


With the recurrence, it's easy to compute more terms of the sequence. Without the recurrence, it's much more difficult.



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The classical approach would need 68 terms to find the recurrence. Their computation would take $1.5 \cdot 10^8$ years.

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- Are there other features that can be used efficiently?