

# Quadrant Walks Starting Outside the Quadrant



Manuel Kauers · Institute for Algebra · JKU

Joint work with Manfred Buchacher and Amelie Trotignon

A story with three messages

A story with three messages

Yet another variant of quadrant walks

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Oversimplification is dangerous

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Proving transcendence of D-finite functions

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The principal object of interest is the **generating function**:

$$F(x, y, t) = \sum_{n=0}^{\infty} \sum_{i,j \in \mathbb{N}} \boxed{a_{i,j,n}} x^i y^j t^n$$

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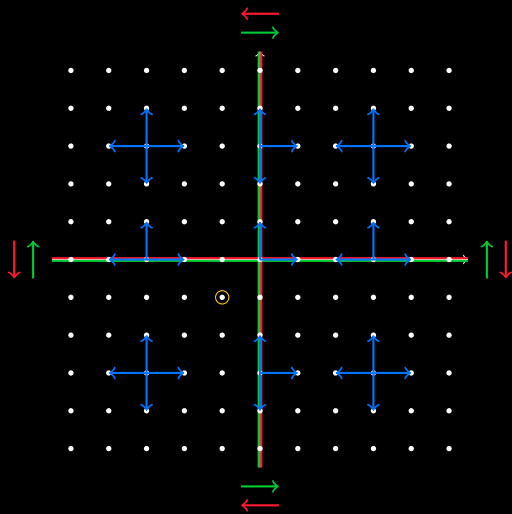
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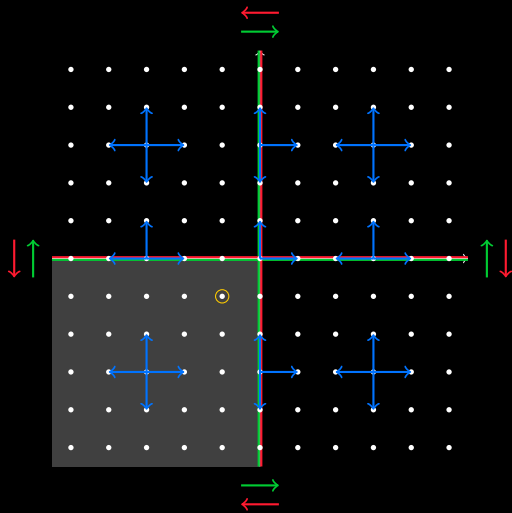
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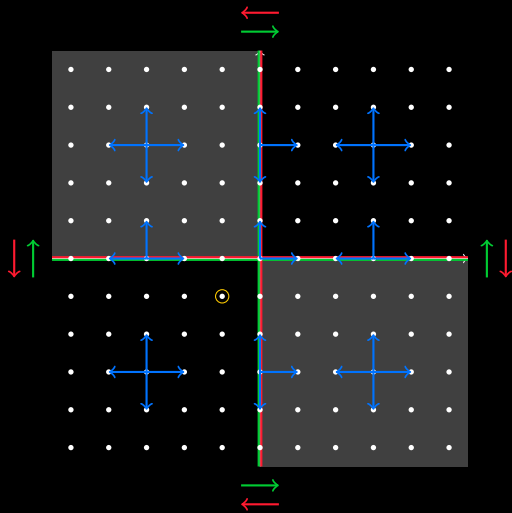
Is it algebraic? If not, is it D-finite? If not, is it D-algebraic?

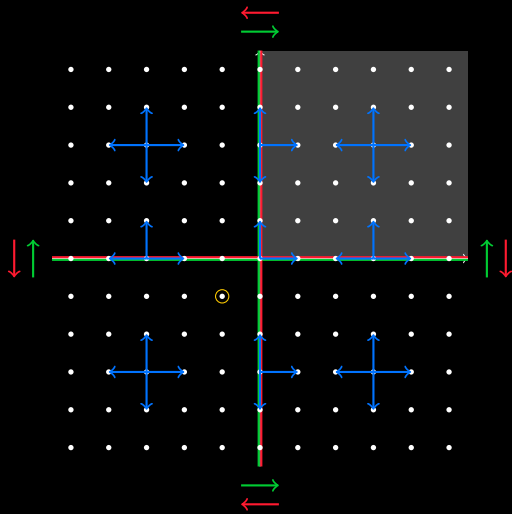












Consider the generating function

$$\begin{aligned} F(x, y, t) &= \frac{1}{xy} \\ &+ \left( \frac{1}{x} + \frac{1}{xy^2} + \frac{1}{y} + \frac{1}{x^2y} \right) t \\ &+ \left( 2 + 2\frac{1}{x^2} + \frac{1}{xy^3} + 2\frac{1}{y^2} + 2\frac{1}{x^2y^2} + \frac{1}{x^3y} + 2\frac{1}{xy} + \frac{x}{y} + \frac{y}{x} \right) t^2 \\ &+ \cdots \in \mathbb{Q}[x, x^{-1}, y, y^{-1}][[t]]. \end{aligned}$$

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Let  $F_x(y, t) = [x^0]F(x, y, t)$  and  $F_y(x, t) = [y^0]F(x, y, t)$ .

We have the functional equation

$$(1 - (x + y + \frac{1}{x} + \frac{1}{y})t)F(x, y, t) = \frac{1}{xy} - \frac{t}{x}F_x(y, t) - \frac{t}{y}F_y(x, t)$$

We have the functional equation

$$\left(1 - \left(x + y + \frac{1}{x} + \frac{1}{y}\right)t\right)xyF(x, y, t) = 1 - tyF_x(y, t) - txF_y(x, t)$$



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$$(1 - (x + y + \frac{1}{x} + \frac{1}{y})t) (xyF(x, y, t) - \frac{1}{x}yF(\frac{1}{x}, y, t) + x\frac{1}{y}F(x, \frac{1}{y}, t) - \frac{1}{xy}F(\frac{1}{x}, \frac{1}{y}, t)) = \mathbf{0}.$$

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“Orbit sum” 

Famous theorem:

*If the orbit sum is zero, the generating function is algebraic.*

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The theorem requires  $F(x, y, t)$  to be analytic at  $x = y = 0$ .

In fact, our  $F(x, y, t)$  is not algebraic.

Let

$$F_1 = [x^<y^<]F$$

$$F_2 = [x^>y^<]F$$

$$F_3 = [x^<y^>]F$$

$$F_4 = [x^>y^>]F$$

so that  $F = F_1 + F_2 + F_3 + F_4$ .

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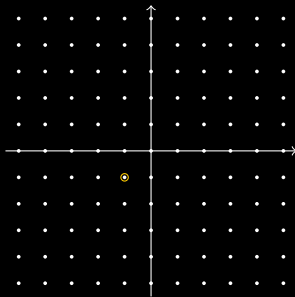
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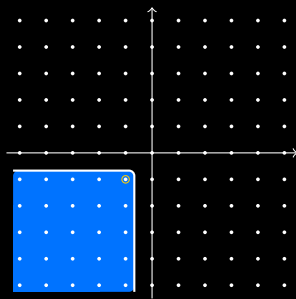
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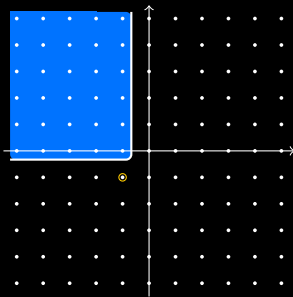
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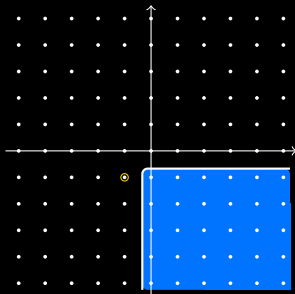
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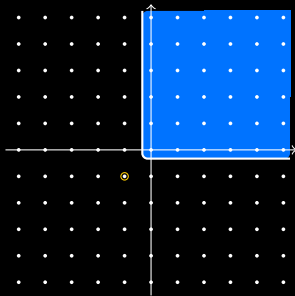
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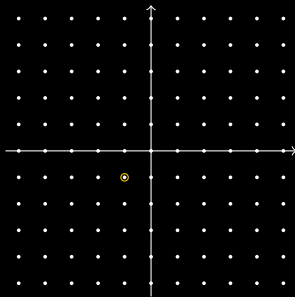
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Then:



$$F_1(x, y, t) = [x \prec y \prec] \frac{xy - \frac{x}{y} - \frac{y}{x} + \frac{1}{xy}}{1 - (x + y + x^{-1} + y^{-1}) t}$$

$$F_1(x, y, t) = [x^<y^<] \frac{\overbrace{xy - \frac{x}{y} - \frac{y}{x} + \frac{1}{xy}}{=:T}}{\underbrace{1 - (x + y + x^{-1} + y^{-1}) t}_{=:S}}$$

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So F is D-finite.

Using computer algebra, we can derive from these expressions that the sequence  $a_n$  defined by

$$F(1, 1, t) = \sum_{n=0}^{\infty} a_n t^n$$

provably satisfies the recurrence

$$\begin{aligned} & (2 + n)(4 + n)(6 + n)(-1 + 2n + n^2)a_{n+2} \\ & - 4(3 + n)(-18 + 4n + 9n^2 + 2n^3)a_{n+1} \\ & - 16(1 + n)(2 + n)(3 + n)(2 + 4n + n^2)a_n = 0. \end{aligned}$$



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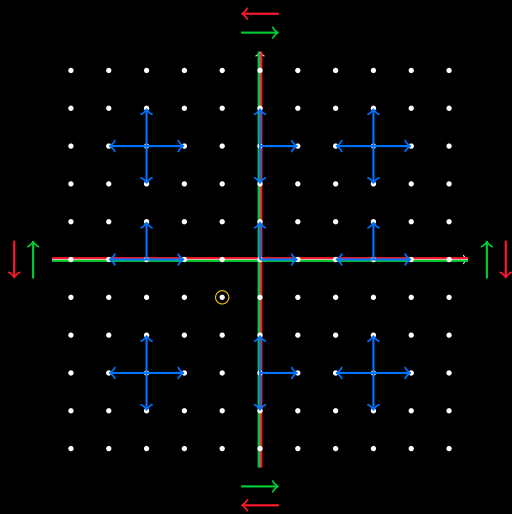
Its only asymptotic solutions are  $\frac{4^n}{n}$  and  $\frac{(-4)^n}{n^3}$ , so  $F(1, 1, t)$  cannot be algebraic.

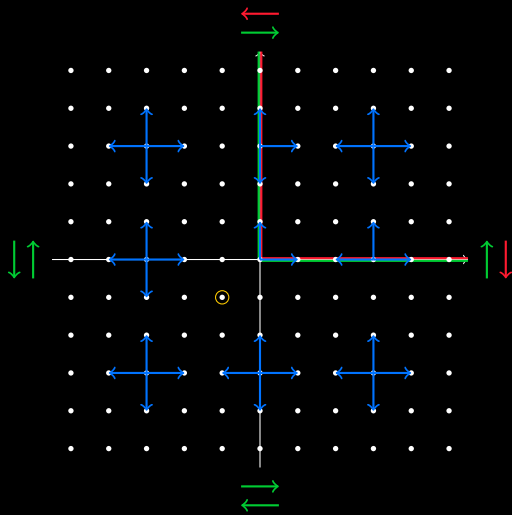
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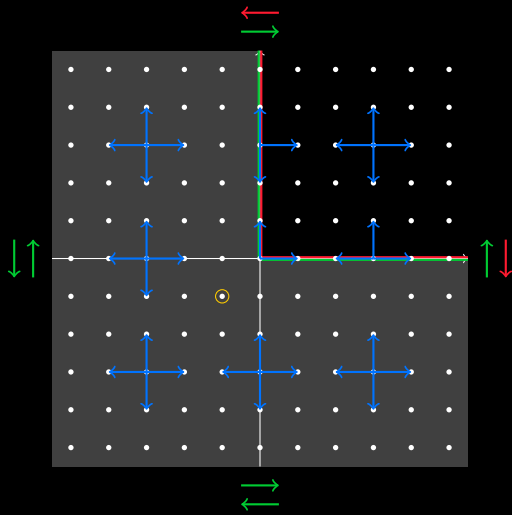
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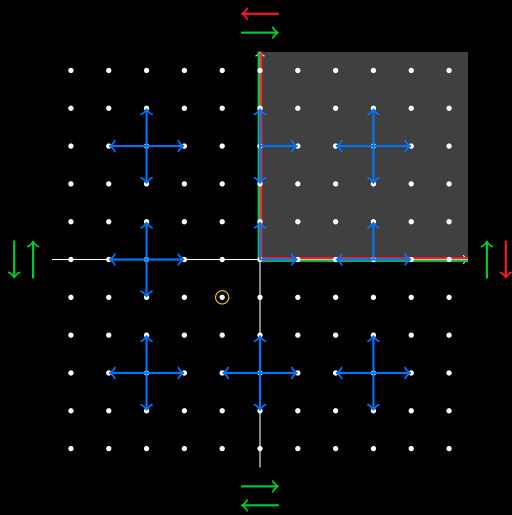
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A guessed recurrence for the coefficients of  $F(1, 1, t)$  has the following asymptotic solutions:

$$\frac{(-4)^n}{n^{10/3}}, \quad \frac{(-4)^n}{n^3}, \quad \frac{(-4)^n}{n^{8/3}}, \quad \frac{(-4)^n}{n^{7/3}}, \quad \frac{(-4)^n}{n^{5/3}},$$
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Not clear from here whether  $F(1, 1, t)$  is algebraic or not.

**Recall:**

## Recall:

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- If  $L$  is irreducible, then either all its solutions are algebraic or all its nonzero solutions are transcendental.



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- If  $L$  is irreducible, then either all its solutions are algebraic or all its nonzero solutions are transcendental.
- In particular, if  $L$  is irreducible and has a logarithmic singularity, then  $L$  has no algebraic solutions.

## Recall:

- To every differential operator  $L = p_0(t) + \cdots + p_r(t)D_t^r$  of order  $r$  we can associate a solution space  $V(L)$  of dimension  $r$ .
- The least common left multiple of two operators  $L_1, L_2$  is defined in such a way that  $V(\text{lclm}(L_1, L_2)) = V(L_1) + V(L_2)$ .
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- If  $L$  is irreducible, then either all its solutions are algebraic or all its nonzero solutions are transcendental.
- In particular, if  $L$  is irreducible and has a logarithmic singularity, then  $L$  has no algebraic solutions.
- $L$  is called completely reducible if it can be written as  $\text{lclm}$  of irreducible operators.

**Fact:** The guessed operator for  $F(1, 1, t)$  is completely reducible.

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$$L = \text{lclm}(L_1, \\ L_2, \\ L_3, \\ L_4, \\ L_5, \\ L_6)$$

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$$L = \text{lcm}(L_1, \quad \text{order 2, degree 10}$$
$$L_2, \quad \text{order 2, degree 9}$$
$$L_3, \quad \text{order 2, degree 7}$$
$$L_4, \quad \text{order 2, degree 5}$$
$$L_5, \quad \text{order 2, degree 5}$$
$$L_6)$$

order 1, degree 1

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$$L = \text{lcm}(L_1, \text{algebraic} \\ L_2, \text{algebraic} \\ L_3, \text{transcendental} \\ L_4, \text{algebraic} \\ L_5, \text{algebraic} \\ L_6)$$

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If the guess is correct, then this implies that

$$F(1, 1, t) = f_1 + f_2 + f_3 + f_4 + f_5 + f_6$$

for certain  $f_1 \in V(L_1), \dots, f_6 \in V(L_6)$ .



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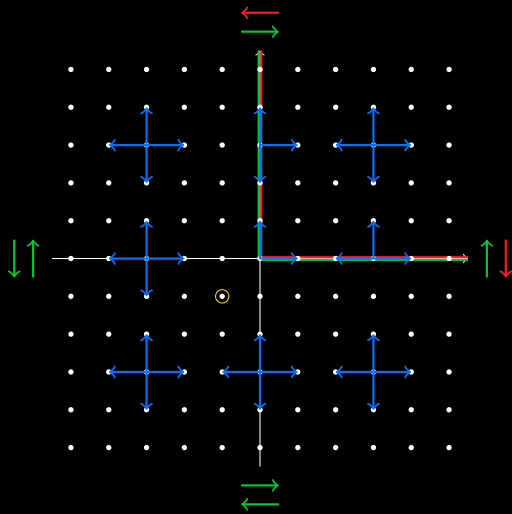
for certain  $f_1 \in V(L_1), \dots, f_6 \in V(L_6)$ .

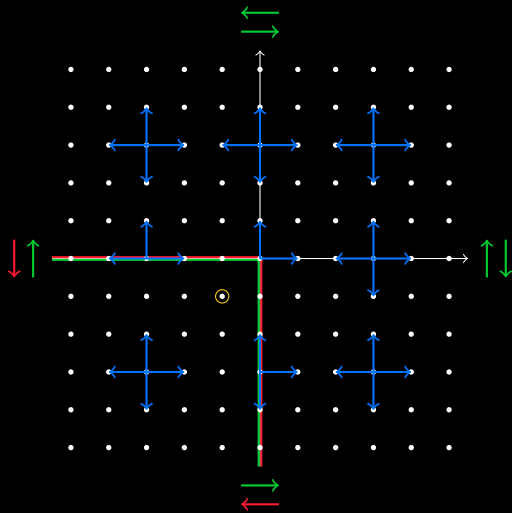
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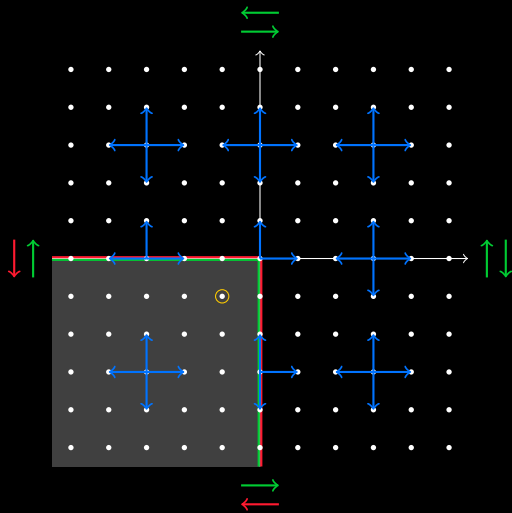
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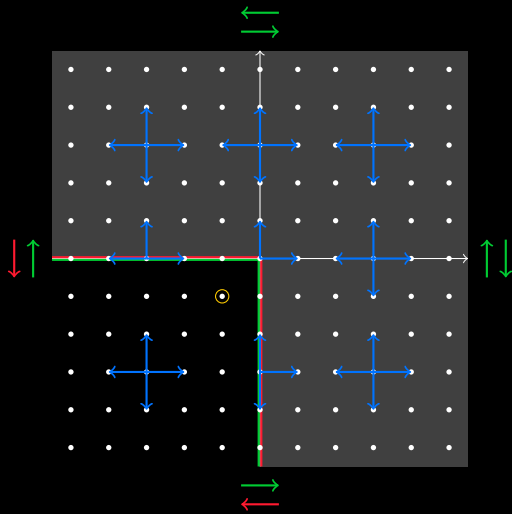
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This proves that  $F(1, 1, t)$  is transcendental (if  $L$  is correct).



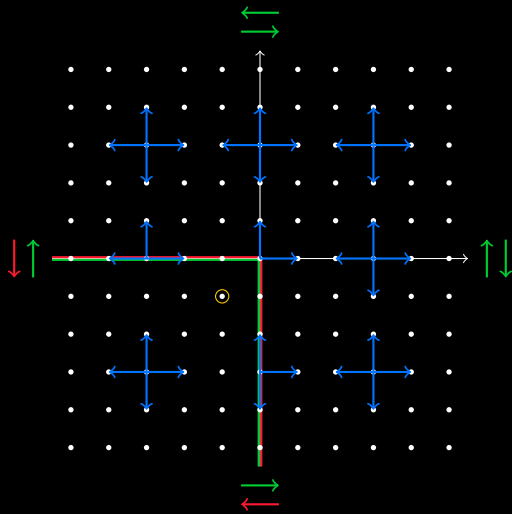


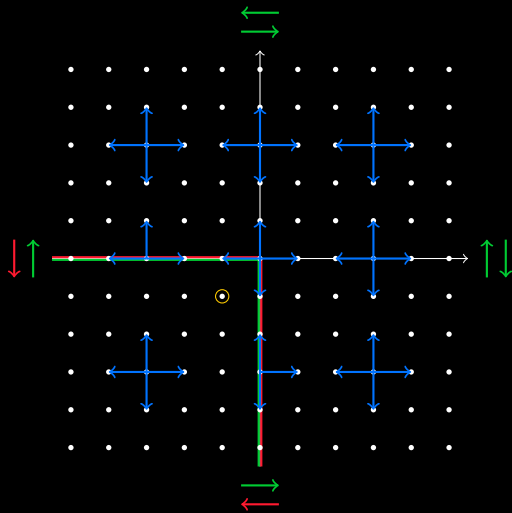


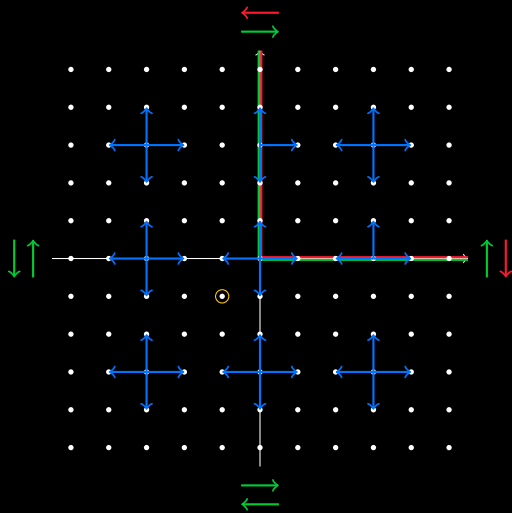


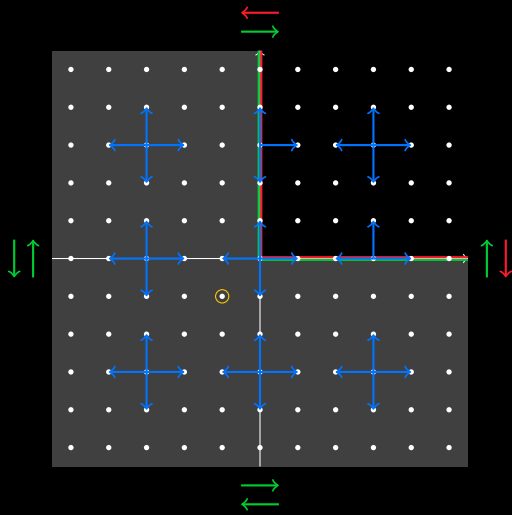
Same game.

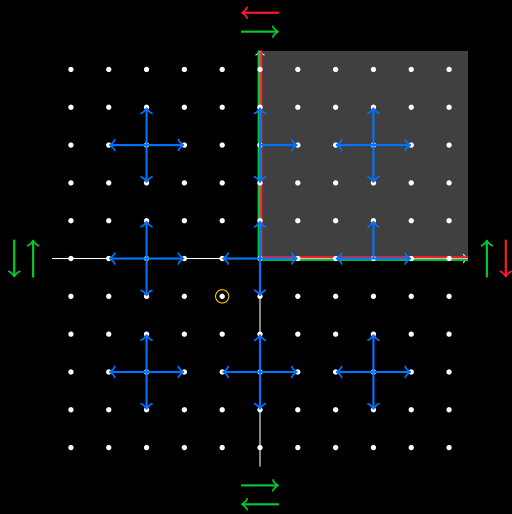


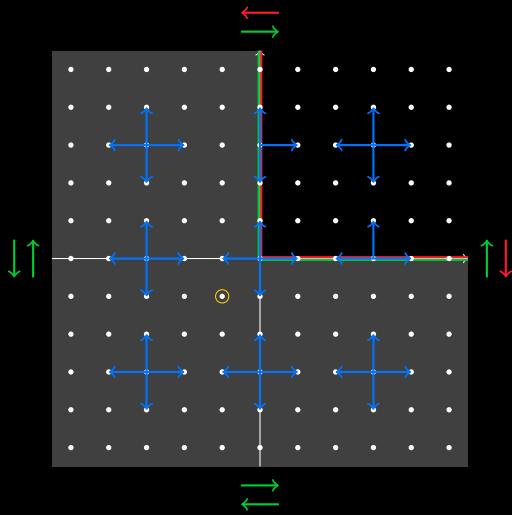


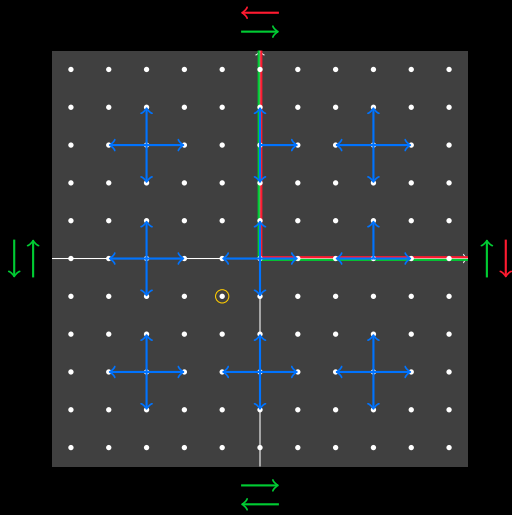












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We are tempted to conjecture that  $F(x, y, t)$  is not D-finite.

## A story with three messages

Yet another variant of quadrant walks

Oversimplification is dangerous

Proving transcendence of D-finite functions