

How a Hard Conjecture in Number Theory was Knocked out with Symbolic Analysis

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RISC

on a collaboration with

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RISC

and

Doron Zeilberger
Rutgers

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- ▶ nice (for computer algebraists) because of the methods used

Partitions

Ways of writing positive integers as sums of positive integers.

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$$p(1) = 1,$$

$$p(2) = 2,$$

$$p(3) = 3,$$

$$p(4) = 5,$$

$$p(5) = 7,$$

$$p(6) = 11,$$

$$p(7) = 15,$$

$$p(8) = 22,$$

$$p(9) = 30,$$

$$p(10) = 42$$

⋮

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Many further features of $p(n)$ have been discovered since the times of Euler.

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$n \times n$ matrices of nonnegative integers $\leq n$, decreasing along all rows and all columns.

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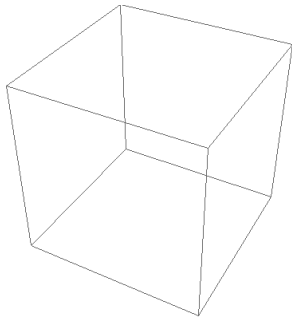
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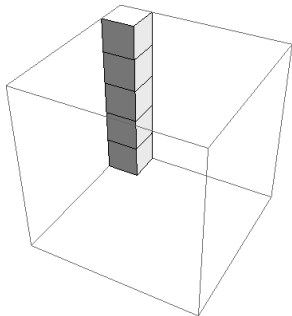


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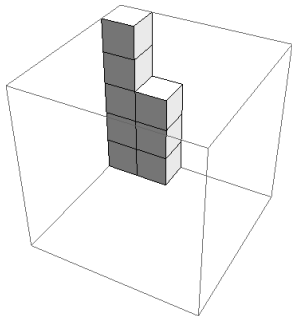


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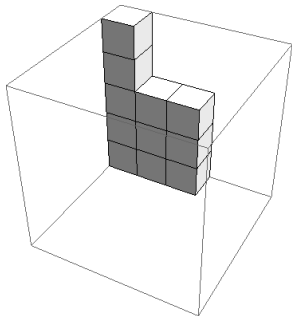


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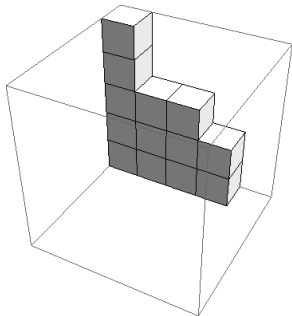


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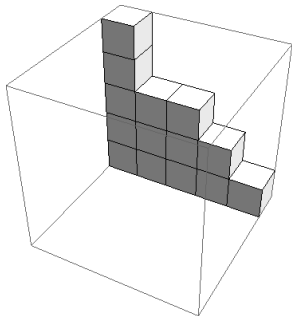


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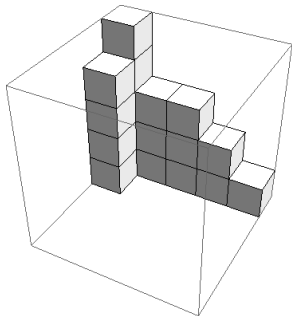


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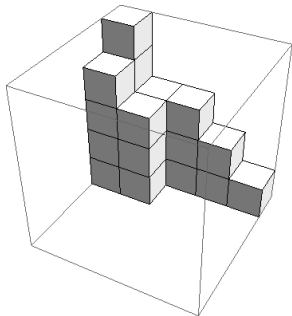


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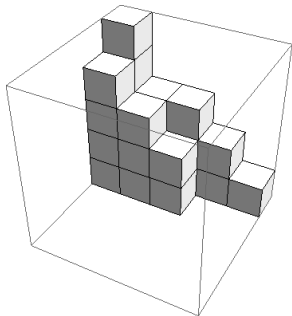


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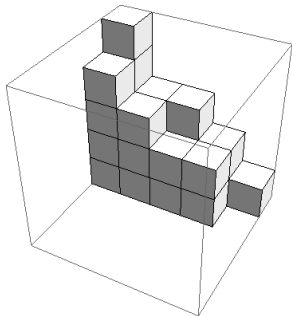


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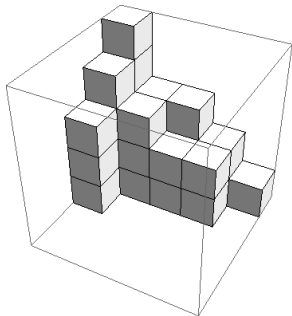


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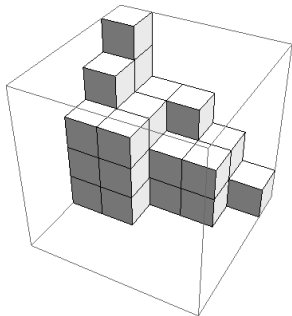


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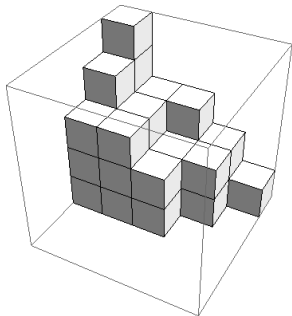


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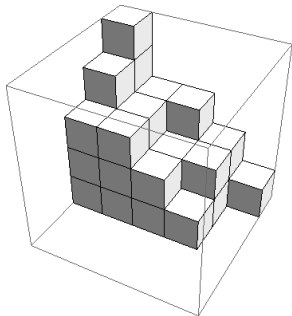


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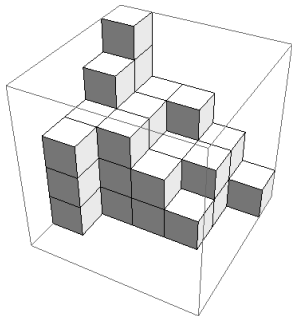


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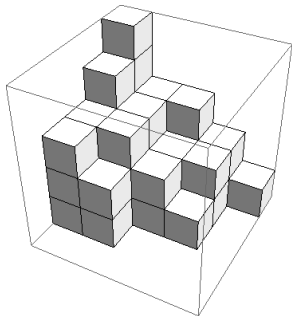


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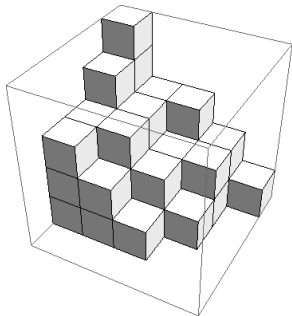


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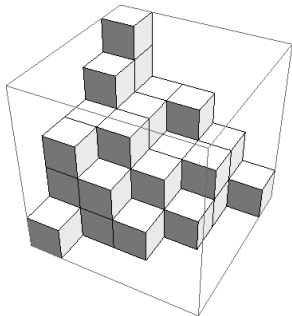


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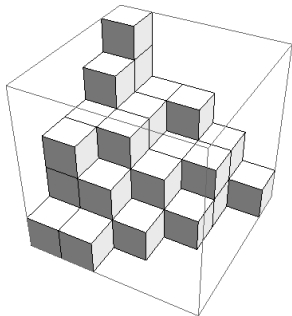


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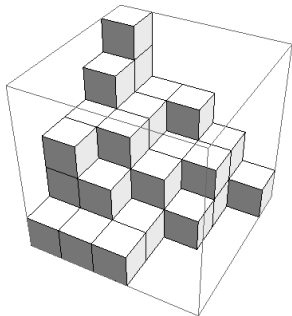


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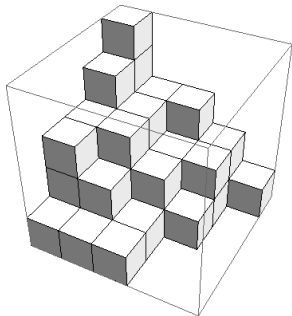


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In the 1980s, harder questions about plane partitions came up.

Plane Partitions

A BAKER'S DOZEN OF CONJECTURES CONCERNING PLANE PARTITIONS

Richard P. Stanley*
Department of Mathematics
Massachusetts Institute of Technology
Cambridge, MA 02139

Many remarkable conjectures have been made recently concerning the explicit enumeration of certain classes of tableaux. Most of these are due to or arise from the work of M. Mills, D. Robbins, and H. Ruskey. Here we will survey the most promising of these conjectures (omitting some rather technical refinements). We will for the most part not discuss the background of these conjectures and their connections with symmetric functions and representation theory. We will also for the most part ignore a host of known results which are very similar to many of the conjectures and which make the conjectures considerably more tantalizing. The reader should consult the references cited below for further information.

We begin with the necessary definitions. A plane partition π is an array $\pi = (\pi_{ij})_{i,j \geq 1}$ of nonnegative integers π_{ij} with finite sum $|\pi| = \sum \pi_{ij}$, which is weakly decreasing in rows and columns [10]. The nonzero π_{ij} are called the parts of π , and normally when writing examples only the parts are displayed. Such terminology as "number of rows of π " refers only to the parts of π . Thus, for example,

```
443211
43211
321
22
1
```

is a plane partition π with $|\pi| = 38$, and with 17 parts, 5 rows, and 5 columns. We now list some special classes of plane partitions.

column-strict: the parts strictly decrease in each column.

row-strict: the parts strictly decrease in each row.

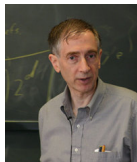
symmetric: $\pi_{ij} = \pi_{ji}$ for all i, j .

cyclically symmetric: the i -th row of π , regarded as an ordinary partition, is conjugate (in the sense of [4, p. 21]) to the i -th column, for all i .

totally symmetric: symmetric and cyclically symmetric.

(r,s,t)-self-complementary: π has r rows, s columns, largest part $\leq t$, and $\pi_{ij} + \pi_{r-i+1, s-j+1} = t$ for all $1 \leq i \leq r, 1 \leq j \leq s$.

*Partially supported by NSF Grant # 810455-08C



In 1985, Richard Stanley composed a list of 13 circulating open conjectures about plane partitions with certain *symmetries*.

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Twelve of them are settled for a while.

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Richard P. Stanley*
Department of Mathematics
Massachusetts Institute of Technology
Cambridge, MA 02139

Many remarkable conjectures have been made recently concerning the explicit enumeration of certain classes of tableaux. Most of these are due to or arise from the work of M. Mills, D. Robbins, and H. Ruskey. Here we will survey the most promising of these conjectures (omitting some rather technical refinements). We will for the most part not discuss the background of these conjectures and their connections with symmetric functions and representation theory. We will also for the most part ignore a host of known results which are very similar to many of the conjectures and which make the conjectures considerably more tantalizing. The reader should consult the references cited below for further information.

We begin with the necessary definitions. A plane partition π is an array $\pi = (\pi_{ij})_{i,j \geq 1}$ of nonnegative integers π_{ij} with finite sum $|\pi| = \sum \pi_{ij}$, which is weakly decreasing in rows and columns [10]. The nonzero π_{ij} are called the parts of π , and normally when writing examples only the parts are displayed. Such terminology as "number of rows of π " refers only to the parts of π . Thus, for example,

```
443211
43211
321
22
1
```

is a plane partition π with $|\pi| = 38$, and with 17 parts, 5 rows, and 5 columns. We now list some special classes of plane partitions.

column-strict: the parts strictly decrease in each column.

row-strict: the parts strictly decrease in each row.

symmetric: $\pi_{ij} = \pi_{ji}$ for all i, j .

cyclically symmetric: the i -th row of π , regarded as an ordinary partition, is conjugate (in the sense of [4, p. 21]) to the i -th column, for all i .

totally symmetric: symmetric and cyclically symmetric.

(r,s,t)-self-complementary: π has r rows, s columns, largest part $\leq t$, and $\pi_{ij} + \pi_{r-i+1, s-j+1} = t$ for all $1 \leq i \leq r, 1 \leq j \leq s$.

*Partially supported by NSF Grant # 810455-05C5



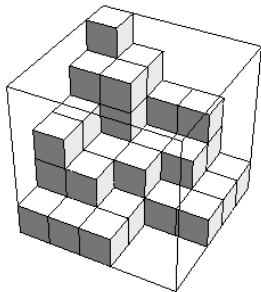
In 1985, Richard Stanley composed a list of 13 circulating open conjectures about plane partitions with certain *symmetries*.

Twelve of them are settled for a while.

We have proved the remaining 13th.

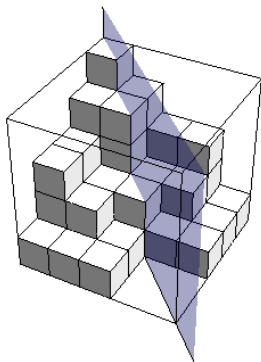
Plane Partitions with Symmetries

1. Symmetric plane partitions



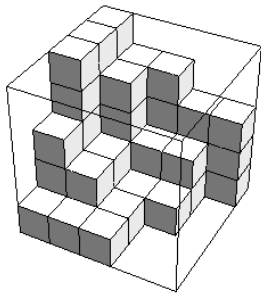
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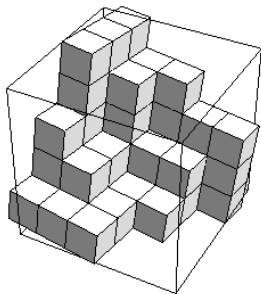
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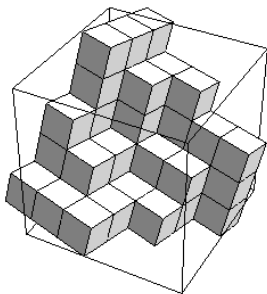
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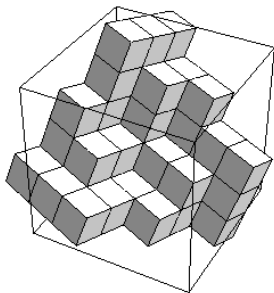
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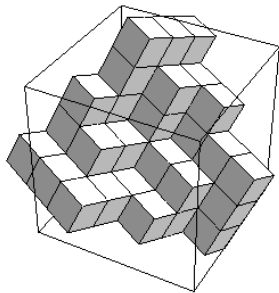
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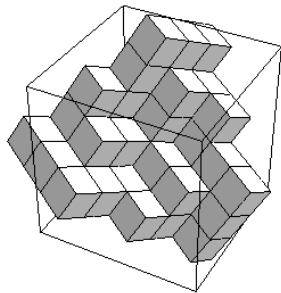
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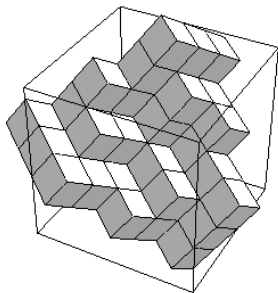
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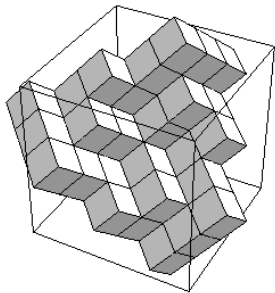
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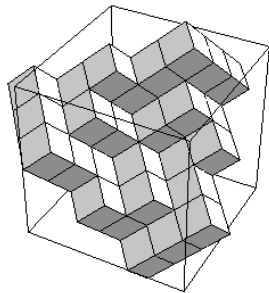
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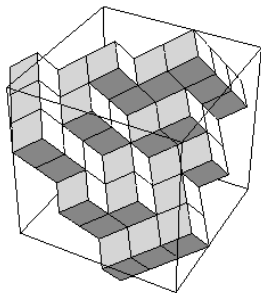
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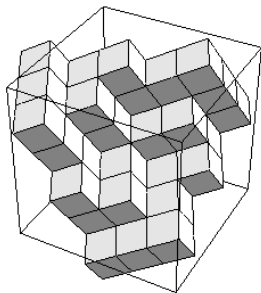
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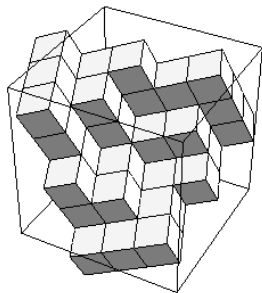
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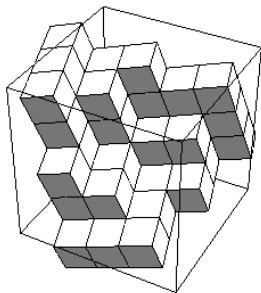
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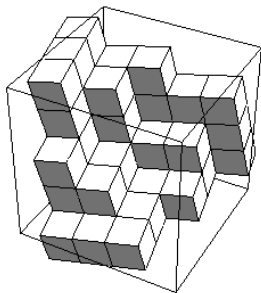
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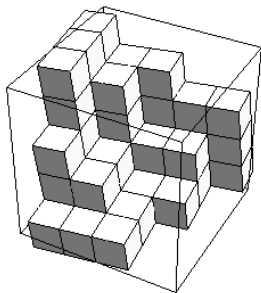
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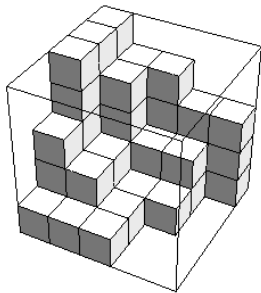
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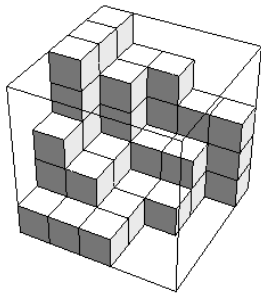
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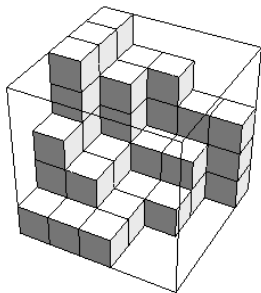
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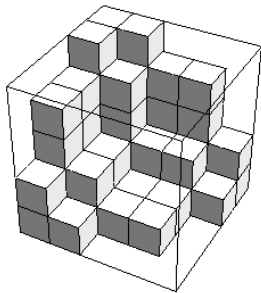
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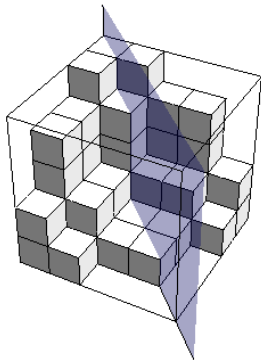
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3. Totally symmetric plane partitions



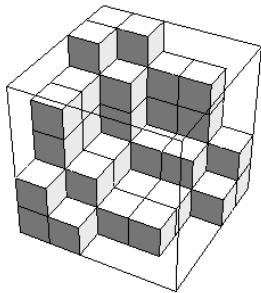
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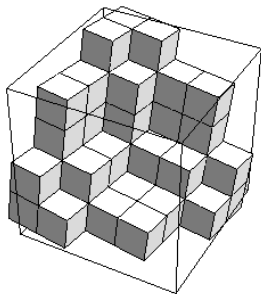
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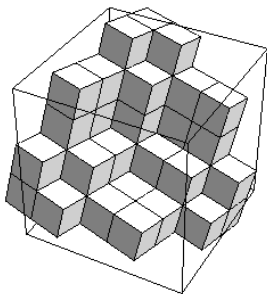
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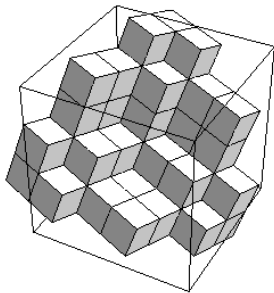
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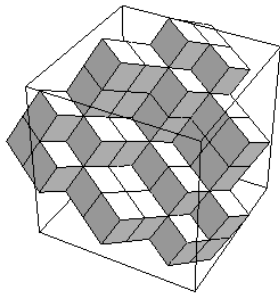
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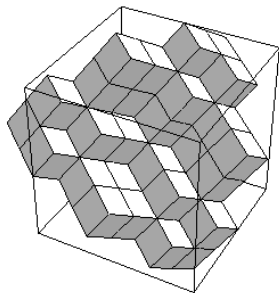
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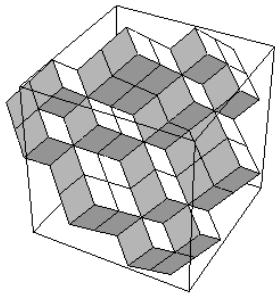
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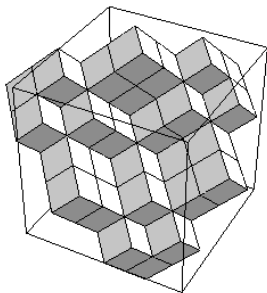
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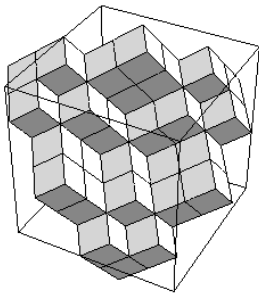
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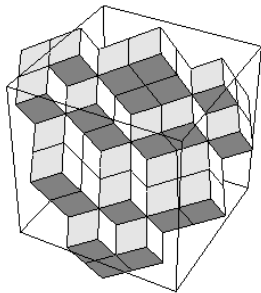
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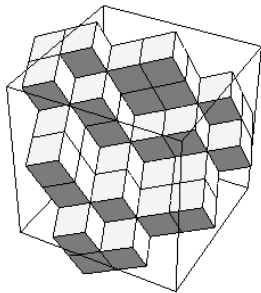
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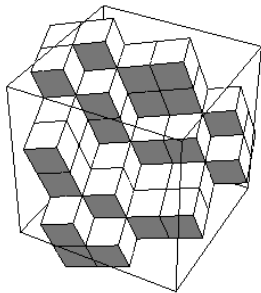
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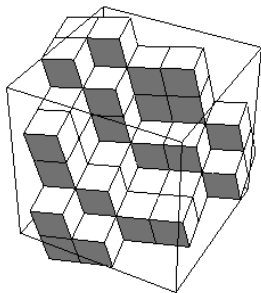
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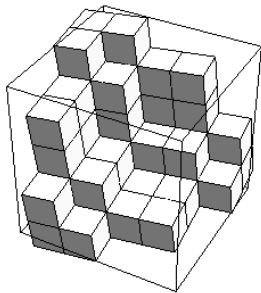
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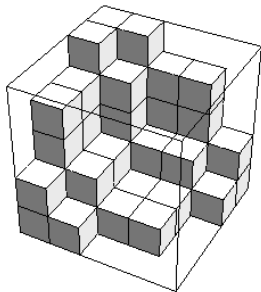
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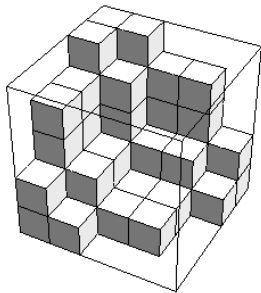
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Plane Partitions with Symmetries

1. Symmetric plane partitions *invariant under* $\langle(1, 2)\rangle \triangleleft S_3$
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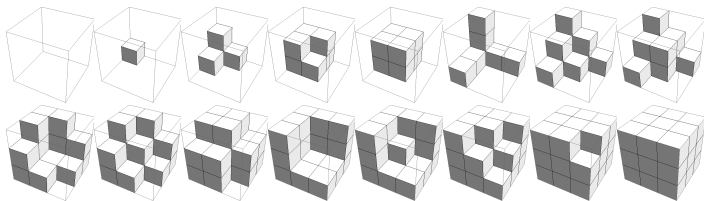
The last conjecture from Stanley's list is about Totally Symmetric Plane Partitions (TSPPs).

Totally Symmetric Plane Partitions

There are 16 TSPPs of size $n = 3$:

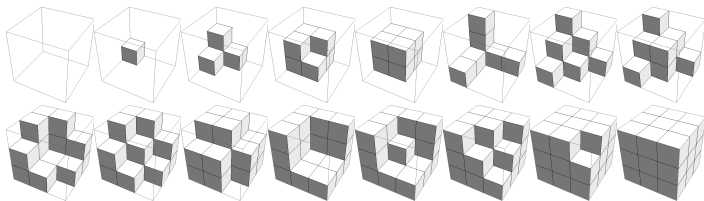
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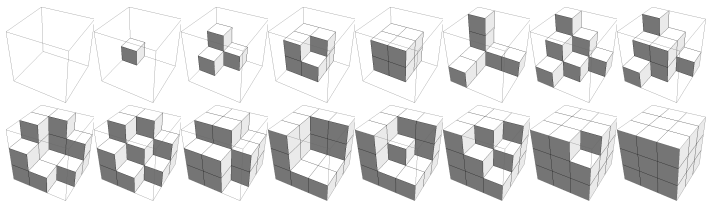
There are

$$tspp(n) = \prod_{1 \leq i \leq j \leq k \leq n} \frac{i + j + k - 1}{i + j + k - 2}$$

TSPPs of size n .

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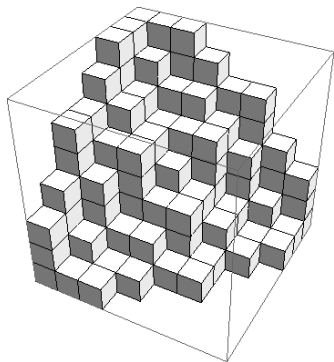


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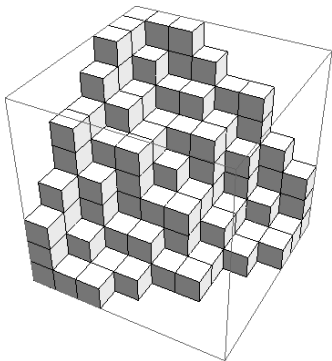
TSPPs of size n . (*Stembridge, 1995* and *Andrews, Paule, Schneider, 2005*)

Totally Symmetric Plane Partitions



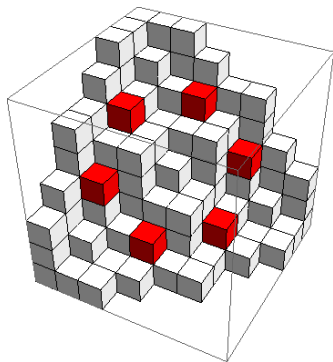
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A totally symmetric plane partition can be decomposed into *orbits*:



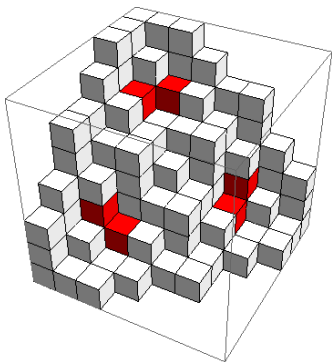
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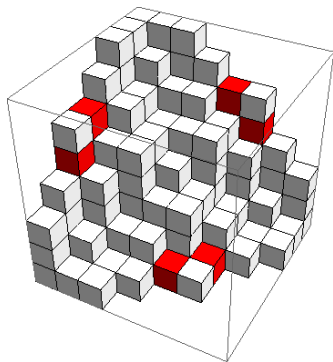
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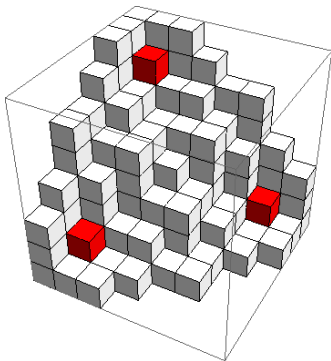
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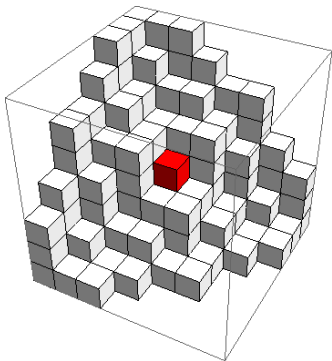
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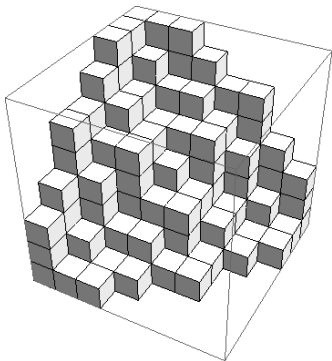
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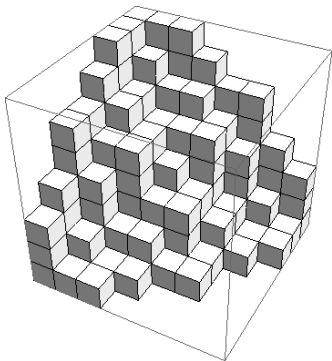
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Totally Symmetric Plane Partitions

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Want: Number of TSPPs of size n with exactly m orbits

Totally Symmetric Plane Partitions

Example: $n = 3$. There are **16** TSPPs altogether.

Totally Symmetric Plane Partitions

















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Let's group them according to their number m of orbits:

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





Let's group them according to their number m of orbits:

0	1	2	3	4	5	6	7	8	9	10
			 	 	 	 	 			

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



Encode this statistics in the coefficients of a polynomial:

$$1 + q + q^2 + 2q^3 + 2q^4 + 2q^5 + 2q^6 + 2q^7 + q^8 + q^9 + q^{10}$$

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


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Encode this statistics in the coefficients of a polynomial:

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Cross check: Setting $q = 1$ gives back the total number 16.

The Last Conjecture from Stanley's List

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$$\frac{(1 - q^2)(1 - q^3)(1 - q^4)^2(1 - q^5)^2(1 - q^6)^2(1 - q^7)(1 - q^8)}{(1 - q)(1 - q^2)(1 - q^3)^2(1 - q^4)^2(1 - q^5)^2(1 - q^6)(1 - q^7)}$$

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Next: How to prove the conjecture using *symbolic analysis*.

Okada's Lemma

It is sufficient to show

$$\det((a_{i,j})_{i,j=1}^n) = \prod_{1 \leq i \leq j \leq k \leq n} \left(\frac{1 - q^{i+j+k-1}}{1 - q^{i+j+k-2}} \right)^2 \quad (n \geq 1)$$

where

$$a_{i,j} = \frac{q^{i+j} + q^i - q - 1}{q^{1-i-j}(q^i - 1)} \prod_{k=1}^{i-1} \frac{1 - q^{k+j-2}}{1 - q^k} + (1 + q^i)\delta_{i,j} - \delta_{i,j+1}.$$

How to certify a determinant identity

Assume that $\det((a_{i,j}))_{i,j=1}^n \stackrel{?}{=} b_n (\neq 0)$ is indeed true.

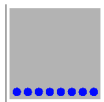
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$$\left| \begin{array}{c} \square \\ \bullet \\ \bullet \\ \bullet \\ \bullet \\ \bullet \\ \bullet \\ \bullet \\ \bullet \\ \bullet \\ \bullet \end{array} \right| = \bullet (-1)^n \left| \begin{array}{c} \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \end{array} \right| \cdots + \bullet (-1)^{n+j} \left| \begin{array}{c} \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \end{array} \right| \cdots + \bullet \left| \begin{array}{c} \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \end{array} \right|$$

The diagram shows a sequence of determinants. The first is a square with a gray background and a blue row of dots at the bottom. It is equal to a sum of terms. Each term consists of a blue dot, a sign $(-1)^k$, and a square with a gray background and red L-shaped lines indicating the removal of a row and a column. The first term has $(-1)^n$ and red lines at the bottom and left. The second term has $(-1)^{n+j}$ and red lines at the bottom and left, with a vertical red line in the middle. The final term has $(-1)^{n+j}$ and red lines at the bottom and right.

How to certify a determinant identity

Assume that $\det((a_{i,j}))_{i,j=1}^n \stackrel{?}{=} b_n (\neq 0)$ is indeed true.

The diagram shows the Laplace expansion of a determinant. On the left, a square matrix is shown with a horizontal line below it. The top row of the matrix is highlighted with seven blue dots. This is followed by an equals sign and a sum of terms. Each term consists of a dot, a sign factor, a fraction, and an ellipsis. The first term is $\bullet (-1)^n$ followed by a fraction where the numerator is a square matrix with a red vertical line in the first column and a red horizontal line in the first row, and the denominator is a square matrix. The second term is $\bullet (-1)^{n+j}$ followed by a fraction where the numerator is a square matrix with a red vertical line in the j -th column and a red horizontal line in the first row, and the denominator is a square matrix. The third term is \bullet followed by a fraction where the numerator is a square matrix with a red vertical line in the first column and a red horizontal line in the j -th row, and the denominator is a square matrix.

$$\frac{\begin{array}{|c|} \hline \text{Matrix} \\ \hline \end{array}}{\begin{array}{|c|} \hline \text{Matrix} \\ \hline \end{array}} = \bullet (-1)^n \frac{\begin{array}{|c|} \hline \text{Matrix} \\ \hline \end{array}}{\begin{array}{|c|} \hline \text{Matrix} \\ \hline \end{array}} \dots + \bullet (-1)^{n+j} \frac{\begin{array}{|c|} \hline \text{Matrix} \\ \hline \end{array}}{\begin{array}{|c|} \hline \text{Matrix} \\ \hline \end{array}} \dots + \bullet \frac{\begin{array}{|c|} \hline \text{Matrix} \\ \hline \end{array}}{\begin{array}{|c|} \hline \text{Matrix} \\ \hline \end{array}}$$

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$$\frac{\begin{array}{|c|} \hline \text{.....} \\ \hline \end{array}}{\begin{array}{|c|} \hline \\ \hline \end{array}} = \bullet (-1)^n \frac{\begin{array}{|c|} \hline \text{.....} \\ \hline \end{array}}{\begin{array}{|c|} \hline \\ \hline \end{array}} \dots + \bullet (-1)^{n+j} \frac{\begin{array}{|c|} \hline \text{.....} \\ \hline \end{array}}{\begin{array}{|c|} \hline \\ \hline \end{array}} \dots + \bullet \frac{\begin{array}{|c|} \hline \text{.....} \\ \hline \end{array}}{\begin{array}{|c|} \hline \\ \hline \end{array}}$$

How to certify a determinant identity

Assume that $\det((a_{i,j}))_{i,j=1}^n \stackrel{?}{=} b_n (\neq 0)$ is indeed true.

$$\frac{\begin{array}{|c|} \hline \text{Matrix with first row highlighted (blue dots)} \\ \hline \end{array}}{\begin{array}{|c|} \hline \text{Matrix} \\ \hline \end{array}} = \bullet (-1)^n \underbrace{\frac{\begin{array}{|c|} \hline \text{Matrix with first row and first column removed} \\ \hline \end{array}}{\begin{array}{|c|} \hline \text{Matrix} \\ \hline \end{array}}}_{=:C_{n,1}} + \dots + \bullet (-1)^{n+j} \underbrace{\frac{\begin{array}{|c|} \hline \text{Matrix with first row and } j\text{-th column removed} \\ \hline \end{array}}{\begin{array}{|c|} \hline \text{Matrix} \\ \hline \end{array}}}_{=:C_{n,j}} + \dots + \bullet \underbrace{\frac{\begin{array}{|c|} \hline \text{Matrix with first row and } n\text{-th column removed} \\ \hline \end{array}}{\begin{array}{|c|} \hline \text{Matrix} \\ \hline \end{array}}}_{=:C_{n,n}}$$

Labels in the diagram:
 - Top-left matrix: $= b_n$
 - Bottom-left matrix: $= b_{n-1}$
 - First term denominator: $=:C_{n,1}$
 - Middle term denominator: $=:C_{n,j}$
 - Last term denominator: $=:C_{n,n}$

How to certify a determinant identity

Assume that $\det((a_{i,j}))_{i,j=1}^n \stackrel{?}{=} b_n$ ($\neq 0$) is indeed true.

$$\begin{array}{c}
 \begin{array}{|c|} \hline \text{[Matrix with } b_n \text{ in top row]} \\ \hline \text{[Matrix with } b_{n-1} \text{ in bottom row]} \\ \hline \end{array} \\
 = \bullet (-1)^n \underbrace{\begin{array}{|c|} \hline \text{[Matrix with } b_{n-1} \text{ in top row]} \\ \hline \text{[Matrix with } b_{n-1} \text{ in bottom row]} \\ \hline \end{array}}_{=:c_{n,1}} + \dots + \bullet (-1)^{n+j} \underbrace{\begin{array}{|c|} \hline \text{[Matrix with } b_{n-1} \text{ in top row]} \\ \hline \text{[Matrix with } b_{n-1} \text{ in bottom row]} \\ \hline \end{array}}_{=:c_{n,j}} + \dots + \bullet \underbrace{\begin{array}{|c|} \hline \text{[Matrix with } b_{n-1} \text{ in top row]} \\ \hline \text{[Matrix with } b_{n-1} \text{ in bottom row]} \\ \hline \end{array}}_{=:c_{n,n}}
 \end{array}$$

$$c_{n,n} = 1 \quad (n \geq 1)$$

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$$\frac{\begin{matrix} = b_n \\ \text{[Matrix with blue dot in row 1]} \end{matrix}}{\begin{matrix} \text{[Matrix]} \\ = b_{n-1} \end{matrix}} = \bullet (-1)^n \underbrace{\begin{matrix} \text{[Minor with red lines]} \\ \text{[Matrix]} \end{matrix}}_{=:c_{n,1}} + \dots + \bullet (-1)^{n+j} \underbrace{\begin{matrix} \text{[Minor with red lines]} \\ \text{[Matrix]} \end{matrix}}_{=:c_{n,j}} + \dots + \bullet \underbrace{\begin{matrix} \text{[Minor with red lines]} \\ \text{[Matrix]} \end{matrix}}_{=:c_{n,n}}$$

$$\frac{b_n}{b_{n-1}} = \sum_{j=1}^n a_{n,j} c_{n,j} \quad (n \geq 1)$$

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The diagram shows the Laplace expansion of a determinant. On the left, a square matrix is shown with a horizontal line above it. The top row is highlighted with a green dashed border and contains the text "copy" with a downward arrow. This is followed by an equals sign and a sum of terms. Each term consists of a coefficient (a green dot followed by $(-1)^{n+j}$), a square matrix with a red L-shaped line indicating the removal of the top row and the j -th column, and another square matrix below it. The terms are separated by ellipses, indicating a sum over all columns j .

$$\frac{\begin{array}{|c|} \hline \text{copy} \\ \hline \end{array}}{\begin{array}{|c|} \hline \\ \hline \end{array}} = \bullet (-1)^n \frac{\begin{array}{|c|} \hline \\ \hline \end{array}}{\begin{array}{|c|} \hline \\ \hline \end{array}} \dots + \bullet (-1)^{n+j} \frac{\begin{array}{|c|} \hline \\ \hline \end{array}}{\begin{array}{|c|} \hline \\ \hline \end{array}} \dots + \bullet \frac{\begin{array}{|c|} \hline \\ \hline \end{array}}{\begin{array}{|c|} \hline \\ \hline \end{array}}$$

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$$\begin{array}{c}
 \begin{array}{|c|} \hline \text{0} \\ \hline \end{array} \\
 \begin{array}{|c|} \hline \text{copy} \\ \hline \end{array} \\
 \begin{array}{|c|} \hline \\ \hline \end{array}
 \end{array}
 = \bullet (-1)^n \underbrace{\begin{array}{|c|} \hline \\ \hline \end{array}}_{=c_{n,1}} + \dots + \bullet (-1)^{n+j} \underbrace{\begin{array}{|c|} \hline \\ \hline \end{array}}_{=c_{n,j}} + \dots + \bullet \underbrace{\begin{array}{|c|} \hline \\ \hline \end{array}}_{=c_{n,n}}$$

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Assume that $\det((a_{i,j}))_{i,j=1}^n \stackrel{?}{=} b_n (\neq 0)$ is indeed true.

The diagram shows the expansion of a determinant by the first row. On the left, a matrix is shown with its first row highlighted in green and labeled "copy". This row is then used to expand the determinant into a sum of terms, each with a sign factor and a cofactor. The cofactors are labeled $C_{n,1}$, $C_{n,j}$, and $C_{n,n}$.

$$\begin{aligned}
 & \begin{array}{|c|} \hline \text{copy} \\ \hline \end{array} = \bullet (-1)^n \underbrace{\begin{array}{|c|} \hline \text{ } \\ \hline \end{array}}_{=C_{n,1}} + \dots + \bullet (-1)^{n+j} \underbrace{\begin{array}{|c|} \hline \text{ } \\ \hline \end{array}}_{=C_{n,j}} + \dots + \bullet \underbrace{\begin{array}{|c|} \hline \text{ } \\ \hline \end{array}}_{=C_{n,n}}
 \end{aligned}$$

$$0 = \sum_{j=1}^n a_{i,j} C_{n,j} \quad (1 \leq i < n)$$

How to certify a determinant identity

The normalized cofactors $c_{n,j}$ satisfy the linear system

$$\begin{pmatrix} a_{1,1} & \cdots & a_{1,n-1} & a_{1,n} \\ \vdots & \ddots & \vdots & \vdots \\ a_{n-1,1} & \cdots & a_{n-1,n-1} & a_{n-1,n} \\ 0 & \cdots & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{n,1} \\ \vdots \\ c_{n,n-1} \\ c_{n,n} \end{pmatrix} = \begin{pmatrix} 0 \\ \vdots \\ 0 \\ 1 \end{pmatrix}.$$

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This system has a *unique solution*.

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The reasoning can therefore be put *upside down*:

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$$c_{n,j} = (-1)^{n+j} \frac{\begin{vmatrix} \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \end{vmatrix}}{\begin{vmatrix} \text{---} & \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} & \text{---} \end{vmatrix}} \quad (j = 1, \dots, n).$$

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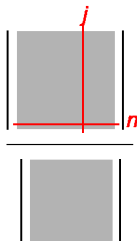
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If in addition

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If in addition

$$(3) \quad \sum_{j=1}^n a_{n,j}c_{n,j} = \frac{b_n}{b_{n-1}},$$

then $\det((a_{i,j}))_{i,j=1}^n = b_n$.

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Idea:

- ▶ Compute $c_{n,j}$ for $0 \leq j \leq n \leq 500$, say.

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How to certify a determinant identity

A function $c_{n,j}$ satisfying (1), (2), (3) is a *certificate* for the determinant identity $\det((a_{i,j}))_{i,j=1}^n = b_n$.

Idea:

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But it turns out to have one.

The Equations Describing the Certificate

Let S_n and S_j be the *shift operators* which map $c_{n,j}$ to

$$S_n \cdot c_{n,j} = c_{n+1,j} \quad \text{and} \quad S_j \cdot c_{n,j} = c_{n,j+1}$$

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All annihilating operators of $c_{n,j}$ form a *left ideal* in the operator algebra $\mathbb{Q}(n, j)\langle S_n, S_j \rangle$.

The Equations Describing the Certificate

The *Gröbner basis* of this ideal contains **5** elements.

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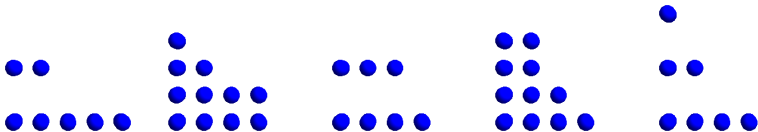
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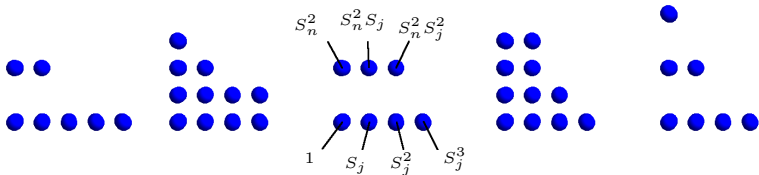
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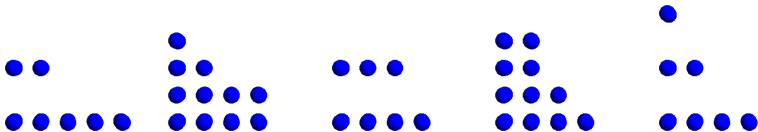
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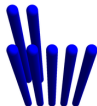
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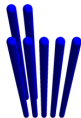
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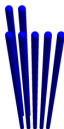
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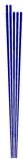
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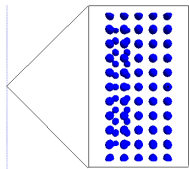
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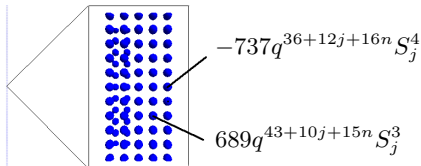
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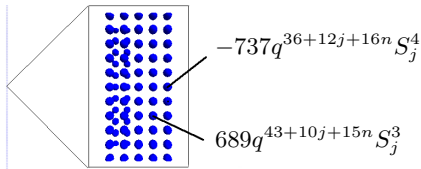
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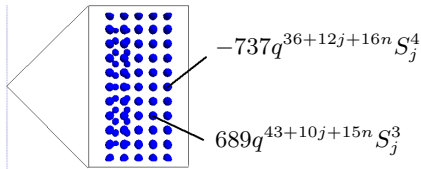


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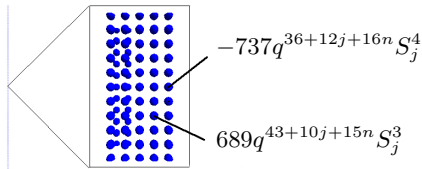
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Key property: Together with a some finitely many initial values, the Gröbner basis fixes the sequence $c_{n,j}$ uniquely.

Prove that the Certificate is a Certificate

To show: (1) $c_{n,n} = 1$ for all $n \geq 0$.

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Checking the claim for some finitely many initial values completes the proof.

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- ▶ Several *algorithms* are known for computing such recurrences.
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For data and further details, see

<http://www.risc.jku.at/people/ckoutsch/qtsp/>