Forks, finitely related clones, and finitely generated varieties

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Results

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In these lectures, we will present the proofs of:

Theorem (2009) [AMM14]

Every clone with edge operation on a finite set is finitely related.

Theorem (2014) [AM14]

Every subvariety of a finitely generated variety with edge term is finitely generated.

Classic Clone Theory

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Clones

Operations $O(A) := \bigcup_{k \in \mathbb{N}} \{ f \mid f : A^k \to A \}.$ Clones A subset C of O(A) is a clone on A if 1. $\forall k, i \in \mathbb{N}$ with $i \leq k$: $((x_1, \ldots, x_k) \mapsto x_i) \in C$, **2**. $\forall n \in \mathbb{N}, m \in \mathbb{N}, f \in C^{[n]}, g_1, \ldots, g_n \in C^{[m]}$: $f(q_1,\ldots,q_n)\in C^{[m]}.$

 $C^{[n]}$...the *n*-ary functions in C, $C^{[n]} \subseteq A^{A^n}$.

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Relational Description of Clones

Definition *I* a finite set, $\rho \subseteq A^{I}$, $f : A^{n} \to A$. *f* preserves ρ ($f \rhd \rho$) if $\forall v_{1}, \ldots, v_{n} \in \rho$:

$$\langle f(\mathbf{v}_1(i),\ldots,\mathbf{v}_n(i)) | i \in I \rangle \in \rho.$$

In other words:

$$f^{\mathcal{A}'}(v_1,\ldots,v_n)\in
ho.$$

Remark

 $f \triangleright \rho \iff \rho$ is a subuniverse of $(A, f)^{I}$.

Definition (Polymorphisms)

Let *R* be a set of finitary relations on *A*, $\rho \in R$.

Theorem

Let *R* be a set of finitary relations on *A*, and let $\rho_1, \rho_2 \in R$ with $\rho_1 \neq \emptyset, \rho_2 \neq \emptyset$. Then

1. Pol(R) is a clone.

2.
$$Pol(\{\rho_1, \rho_2\}) = Pol(\{\rho_1 \times \rho_2\}).$$

Theorem (see [PK79])

Let *C* be a clone on the finite set *A*. Then there is a set *R* of finitary relations such that C = Pol(R).

Proof:

- Observe $C^{[n]} \subseteq A^{A^n}$.
- Take $I_n := A^n$, $\rho_n := C^{[n]}$. Then $\rho_n \subseteq A^{I_n}$.
- Set $R := \{\rho_1, \rho_2, \dots, \dots\}.$
- ▶ Prove $C \subseteq \text{Pol}(R)$: $f \in C^{[n]}, g_1, \ldots, g_n \in \rho_m$ implies $f(g_1, \ldots, g_n) \in \rho_m$ by the closure properties of clones.
- ▶ Prove $\operatorname{Pol}(R) \subseteq C$: Let $f : A^n \to A$ in $\operatorname{Pol}(R)$. Then $f \triangleright \rho_n$, hence $f(\pi_1, \ldots, \pi_n) \in \rho_n$, thus $f \in C^{[n]}$.

Definition

A clone *C* is finitely related if there is a finite set of finitary relations *R* with C = Pol(R).

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Theorem [PK79, 4.1.3]

Let C be a clone on the finite set A. TFAE:

- 1. C is not finitely related.
- 2. There is a strictly decreasing sequence $C_1 \supset C_2 \supset C_3 \supset \cdots$ with $C = \bigcap_{i \in \mathbb{N}} C_i$.

Finitely related clones vs. DCC

Theorem

Let C be a clone on the finite set A. TFAE:

- 1. *C* is not finitely related.
- 2. There is a strictly decreasing sequence $C_1 \supset C_2 \supset C_3 \supset \cdots$ with $C = \bigcap_{i \in \mathbb{N}} C_i$.

Proof of (1) \Rightarrow (2):

- We know $C = Pol(\{\rho_1, \rho_2, ...\}).$
- ► Hence $\mathsf{Pol}(\{\rho_1\}) \supseteq \mathsf{Pol}(\{\rho_1, \rho_2\}) \supseteq \mathsf{Pol}(\{\rho_1, \rho_2, \rho_3\}) \supseteq \cdots$.

Proof of (2) \Rightarrow (1):

- Suppose $C = Pol(\{\rho\}), |\rho| = N.$
- Then for some $n \in \mathbb{N}$, $C_n^{[N]} = C^{[N]}$.
- We show $\forall f \in C_n : f \rhd \rho$ on the next slide.
- ► Then $C_n \subseteq \text{Pol}(\{\rho\}) = C \subseteq C_{n+1}$, a contradiction.

Finitely related clones vs. DCC

Proof of (2) \Rightarrow (1) (continued):

- Assumptions: $C = \text{Pol}(\{\rho\}), \rho = \{b_1, \dots, b_N\}, C_n^{[N]} = C^{[N]}.$
- We want to show: $\forall f \in C_n : f \triangleright \rho$.
- ▶ To this end, let $f \in C_n$, *r*-ary, and let $a_1, \ldots, a_r \in \rho$.
- Goal: $f(a_1, \ldots, a_r) \in \rho$.
- ▶ We have $f(a_1, ..., a_r) = f(b_{i(1)}, ..., b_{i(r)})$ with $i(k) \in \{1, ..., N\}$ for all $k \in \{1, ..., r\}$.
- Define $g(y_1, \ldots, y_N) := f(y_{i(1)}, \ldots, y_{i(r)})$ for all $\mathbf{y} \in A^N$.
- Then $f(b_{i(1)}, \ldots, b_{i(r)}) = g(b_1, \ldots, b_N)$.
- ▶ Now $g \in C_n^{[N]}$, hence $g \in C^{[N]}$. Thus $g(b_1, \ldots, b_N) \in \rho$.

Theorem Let *M* be a clone on *A*. If

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(\{C \mid C \text{ clone on } A, M \subseteq C\}, \subseteq)
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satisfies the DCC, then every clone containing M is finitely related.

Definition (X, \leq) has the DCC : \Leftrightarrow there is no $(x_i)_{i \in \mathbb{N}}$ with $x_1 > x_2 > x_3 > \cdots$.

Theorem

 (X, \leq) has the DCC \Leftrightarrow Every nonempty subset *Y* of *X* has a minimal element.

Forks

Groups

Let *G* be a group, $n \in \mathbb{N}$.

Goal: represent subgroups of G^n . The following lemma will motivate the definition of forks and the formulation of the fork lemma.

Lemma

Let *G* be a group, $n \in \mathbb{N}$, $A \leq B \leq G^n$ subgroups. Assume

1.
$$A \subseteq B$$

2. $\forall i \in \{1, \ldots, n\}, \forall g \in G, \forall r_{i+1}, \ldots, r_n \in G$:

$$(\underbrace{0,\ldots,0}_{i-1},g,r_{i+1},\ldots,r_n)\in B \quad \Rightarrow$$
$$\exists s_{i+1},\ldots,s_n\in G: \quad (0,\ldots,0,g,s_{i+1},\ldots,s_n)\in A.$$

Then A = B.

Mal'cev algebras I

A is a Mal'cev algebra $\Leftrightarrow \exists d \in \text{Clo}_3 A \ \forall a, b \in A$: d(a, a, b) = d(b, a, a) = b.

Definition of Forks

Let **A** be an algebra, let $m \in \mathbb{N}$, and let *F* be a subuniverse of **A**^{*m*}. For $i \in \{1, ..., m\}$, we define the relation $\varphi_i(F)$ on *A* by

$$arphi_i(F) := \{(a_i, b_i) \mid (a_1, \dots, a_m) \in F, (b_1, \dots, b_m) \in F, (a_1, \dots, a_{i-1}) = (b_1, \dots, b_{i-1})\}.$$

If $(c, d) \in \varphi_i(F)$, we call (c, d) a fork of F at i. If

then (\mathbf{u}, \mathbf{v}) is a witness of the fork (c, d) at *i*.

Forks have not been called forks, but are used, e.g., in: [BD06, p.21], [BIM⁺10], [Aic00, p.110]

The fork lemma

Lemma (cf. [BIM+10, Cor. 3.9], [Aic10, Lemma 3.1])

Let **A** be an algebra with Mal'cev term *d*, and let $m \in \mathbb{N}$. Let *F*, *G* be subuniverses of **A**^{*m*} with $F \subseteq G$. We assume $\forall i \in \{1, ..., m\}$: $\varphi_i(G) \subseteq \varphi_i(F)$. Then F = G. *Proof:*

For each
$$k \in \{1, \ldots, m\}$$
, let

$$\begin{array}{rcl} F_k & := & \{(f_1, \ldots, f_k) \, | \, (f_1, \ldots, f_m) \in F \} \\ G_k & := & \{(g_1, \ldots, g_k) \, | \, (g_1, \ldots, g_m) \in G \}. \end{array}$$

• We prove $\forall k \in \{1, \ldots, m\}$: $G_k \subseteq F_k$.

k = 1: √

The fork lemma

- $k \geq 2$: Let $(g_1, \ldots, g_k) \in G_k$.
- Then $(g_1, \ldots, g_{k-1}) \in G_{k-1}$.
- ▶ By the induction hypothesis, $(g_1, \ldots, g_{k-1}) \in F_{k-1}$.

• Hence
$$\exists f_k$$
:

$$(g_1,\ldots,g_{k-1},f_k)\in F_k.$$

- ▶ Since $(f_k, g_k) \in \varphi_k(G)$, we have $(f_k, g_k) \in \varphi_k(F)$.
- ▶ Thus $\exists: a_1, \ldots, a_{k-1} \in A$ such that

$$(a_1,\ldots,a_{k-1},f_k)\in F_k$$

 $(a_1,\ldots,a_{k-1},g_k)\in F_k.$

• By Mal'cev: $(g_1, \ldots, g_k) \in F_k$.

Fact Let **A** be an algebra, $\alpha \in Aut(\mathbf{A})$. Then

B = {
$$(a, a) | a \in A$$
}
C = { $(a, \alpha(a)) | a \in A$ }

have the same forks.

Fact [BD06, BIM⁺10]

(Forks + one witness per fork) represent subalgebras if we have a Mal'cev term. Can be modified to edge terms.

Representing Clones By Forks

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Let $C := \text{Clo}((\mathbb{Z}_3, +))$; $A := \mathbb{Z}_3$. We represent the binary part $C^{[2]}$.

$$\mathcal{C}^{[2]} = \{(x,y) \mapsto ax + by \mid a, b \in \mathbb{Z}_3\}.$$

- ▶ Order *A*: 0 < 1 < 2.
- Order A² lexicographically: 00 < 01 < 02 < 10 < 11 < 12 < 20 < 21 < 22.

► For each $\mathbf{x} \in A^2$, compute $F(C, \mathbf{x}) := \{f(\mathbf{x}) \mid f \in C, \forall \mathbf{z} < \mathbf{x} : f(\mathbf{z}) = 0\}.$ $\mathbf{x} \mid F(C, \mathbf{x}) \mid \text{Reason}$

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For each $\mathbf{x} \in A^2$, compute $F(C, \mathbf{x}) := \{f(\mathbf{x}) \mid f \in C, \forall \mathbf{z} < \mathbf{x} : f(\mathbf{z}) = 0\}.$ $F(C, \mathbf{x})$ | Reason Х 00 {0} 01 f(x, y) := y witnesses $1 \in F(C, 01)$ Α 02 0 f(02) = f(01) + f(01)10 f(x, y) := x witnesses $1 \in F(C, 10)$ Α f(11) = f(01) + f(10)11 0

For each $\mathbf{x} \in A^2$, compute $F(C, \mathbf{x}) := \{f(\mathbf{x}) \mid f \in C, \forall \mathbf{z} < \mathbf{x} : f(\mathbf{z}) = 0\}.$ $F(C, \mathbf{x})$ Reason Х 00 {0} 01 f(x, y) := y witnesses $1 \in F(C, 01)$ Α f(02) = f(01) + f(01)02 0 10 f(x, y) := x witnesses $1 \in F(C, 10)$ Α f(11) = f(01) + f(10)11 0 12 0 20 0 21 0 22 0

For each $\mathbf{x} \in A^2$, compute $F(C, \mathbf{x}) := \{f(\mathbf{x}) \mid f \in C, \forall \mathbf{z} < \mathbf{x} : f(\mathbf{z}) = 0\}.$ $F(C, \mathbf{x})$ Reason Х 00 {0} 01 f(x, y) := y witnesses $1 \in F(C, 01)$ Α f(02) = f(01) + f(01)02 0 10 f(x, y) := x witnesses $1 \in F(C, 10)$ Α f(11) = f(01) + f(10)11 0 12 0 20 0 21 0 22 0

From groups to Mal'cev algebras

•
$$(A, +)$$
 group, *C* clone on *A*, $\mathbf{x} \in A^n$.

$$F(C, \mathbf{x}) := \{f(\mathbf{x}) \mid f \in C, \forall \mathbf{z} < \mathbf{x} : f(\mathbf{z}) = \mathbf{0}\}.$$

► A set with a Mal'cev operation, C clone on A, $\mathbf{x} \in A^n$.

 $\varphi(C,\mathbf{x}) := \{(f_1(\mathbf{x}), f_2(\mathbf{x})) \mid f_1, f_2 \in C, \forall \mathbf{z} < \mathbf{x} : f_1(\mathbf{z}) = f_2(\mathbf{z})\}.$

Call $\varphi(C, \mathbf{x})$ the forks of *C* at **x**.

Fork lemma for clones [Aic10]

Let *C*, *D* clones on *A* containing a Mal'cev operation. If $C \subseteq D$ and $\varphi(C, \mathbf{a}) = \varphi(D, \mathbf{a})$ for all $\mathbf{a} \in A^*$, then C = D.

Consequence

From a linearly ordered set of clones with the same Mal'cev term, the mapping

$$oldsymbol{\mathcal{C}}\mapsto \langle arphi(oldsymbol{\mathcal{C}}, \mathbf{a}) \, | \, \mathbf{a} \in oldsymbol{\mathcal{A}}^*
angle$$

is injective.

Connections between forks at different places

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C ... constantive clone on \mathbb{Z}_2 . We observe 0110 ≤_{*e*} 0011101. Claim: *F*(*C*,0011101) ⊆ *F*(*C*,0110).

Proof

- Let *a* ∈ *F*(*C*,0011101).
- ► Let $f \in C^{[7]}$ such that f(0011101) = a, f(z) = 0 for all $z \in \{0, 1\}^7$ with $z <_{lex} 0011101$.
- Define $g(x_1, x_2, x_3, x_4) := f(0, x_1, x_2, 1, x_3, x_4, 1).$
- Then g(0110) = f(0011101) = a and g(z) = 0 for z ∈ {0,1}⁴ with z <_{lex} 0110.
- ► Thus a ∈ F(C, 0110).

Abstract from \mathbb{Z}_2 : Clones on $A = \{0, \dots, t-1\}$.

Word embedding

hen \leq_e achievement, austria \leq_e australia

Embedded Forks Lemma (with constants) [Aic10] Let *C* be a constantive clone on *A*. $\mathbf{a}, \mathbf{b} \in A^*$. Then

$$\mathbf{a} \leq_{\boldsymbol{e}} \mathbf{b} \Rightarrow \varphi(\boldsymbol{C}, \mathbf{b}) \subseteq \varphi(\boldsymbol{C}, \mathbf{a}).$$

Limitations of the Embedded Forks Lemma In the proof of the Theorem, we used constants:

$$g(x_1, x_2, x_3, x_4) := f(0, x_1, x_2, 1, x_3, x_4, 1).$$

Without constants:

$$g(x_1, x_2, x_3, x_4) := f(x_4, x_1, x_2, x_2, x_3, x_4, x_2).$$

Then g(0110) = f(0011101), but

 $0001 <_{lex} 0110$ and $1000010 \not<_{lex} 0011101$.

Hence g(0001) = 0 not guaranteed.

Connections between forks

Connection between forks $C \dots$ clone on \mathbb{Z}_2 . We observe $0110 \leq_E 0011101$. Claim:

```
F(C, 0011101) \subseteq F(C, 0110).
```

Proof

Let $a \in F(C, 0011101)$, $f \in C^{[7]}$ such that f(0011101) = a, f(z) = 0 for all $z \in \{0, 1\}^7$ with $z <_{lex} 0011101$. Define

Then g(0110) = f(0011101) = a and g(z) = 0 for $z \in \{0, 1\}^4$ with $z <_{lex} 0110$. Thus $a \in F(C, 0110)$.
The new embedding ordering: from \leq_e to \leq_E

►
$$A^+ := \bigcup \{A^n \mid n \in \mathbb{N}\}.$$

For a = (a₁,..., a_n) ∈ A⁺ and b ∈ A, we define the *index of* the first occurrence of b in a, firstOcc (a, b), by firstOcc (a, b) := 0 if b ∉ {a₁,..., a_n}, and firstOcc (a, b) := min{i ∈ {1,...,n} | a_i = b} otherwise.

Definition

Let *A* be a finite set, and let $\mathbf{a} = (a_1, \dots, a_m)$ and $\mathbf{b} = (b_1, \dots, b_n)$ be elements of A^+ . We say $\mathbf{a} \leq_E \mathbf{b}$ (read: \mathbf{a} embeds into \mathbf{b}) if \exists injective and increasing function $h : \{1, \dots, m\} \rightarrow \{1, \dots, n\}$ such that 1. for all $i \in \{1, \dots, m\}$: $a_i = b_{h(i)}$, 2. $\{a_1, \dots, a_m\} = \{b_1, \dots, b_n\}$, 3. for all $c \in \{a_1, \dots, a_m\}$: $h(\text{firstOcc}(\mathbf{a}, c)) = \text{firstOcc}(\mathbf{b}, c)$. We will call such an h a function witnessing $\mathbf{a} \leq_E \mathbf{b}$.

Informal description

 $\mathbf{a} \leq_E \mathbf{b}$ iff \mathbf{b} can be obtained from \mathbf{a} by inserting additional letters anywhere after their first occurrence in \mathbf{a} .



Clones on $A = \{0, ..., t - 1\}.$

Theorem (Embedded Forks Lemma without constants) [AMM14]

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Let *C* be a clone on *A*, and let $\mathbf{a}, \mathbf{b} \in A^*$ with $\mathbf{a} \leq_E \mathbf{b}$. Then $\varphi(C, \mathbf{b}) \subseteq \varphi(C, \mathbf{a})$.

Short representation of all forks

Let A be a finite set.



Let A be a finite set.

1. (A^*, \leq_e) has no infinite descending chains.

(A*, ≤_e) has no infinite descending chains.
 (A*, ≤_E) has no infinite descending chains.



(A*, ≤_e) has no infinite descending chains.
 (A*, ≤_E) has no infinite descending chains.
 (A*, ≤_e) has no infinite antichains [Hig52].

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 (A*, ≤_E) has no infinite antichains [AMM14].

Definition

Let (X, \leq) be an ordered set, $Y \leq X$. Y is upward closed if $\forall y \in Y, x \in X : y \leq x \Rightarrow x \in Y$.

The set of upward closed subsets Let (X, \leq) be an ordered set. Let $U(X) := \{A | A \subseteq X, A \text{ upward closed}\}.$

Fact

 (X, \leq) has no infinite descending chain and no infinite anitchain (wpo) $\implies (U(X), \subseteq)$ has no infinite ascending chain.

Fact

 (X, \leq) has no infinite descending chains and no infinite anitchain (wpo) $\Rightarrow (U(X), \subseteq)$ has no infinite ascending chain. *Proof:*

1. Let $U_1 \subset U_2 \subset U_3 \subset$ be an ascending chain.

2.
$$U := \bigcup_{i \in \mathbb{N}} U_i$$
.

- 3. *U* has finitely many minimal elements (they form an antichain!).
- 4. There is *j* such that these minimal elements are in U_j .
- 5. Then $U \subseteq U_j$ because every element in U is \geq some minimal element in U.

A a finite set.

Theorem

The set of upward closed subsets of (A^*, \leq_e) has no infinite ascending chain with respect to \subseteq .

Theorem

The set of upward closed subsets of (A^*, \leq_E) has no infinite ascending chain with respect to \subseteq .

Question

Is there an infinite antichain of upward closed subsets of (A^*, \leq_e) ?

Forks of clones and upward closed sets

▶ Let $C_1 \supset C_2 \supset C_3 \supset \cdots$ be a chain of Mal'cev clones. Then we can determine *i* if we know

 $\varphi(C_i, \mathbf{a})$ for every $\mathbf{a} \in A^*$.

▶ Let $S \subset A \times A$. Since $\mathbf{a} \leq_E \mathbf{b} \Rightarrow \varphi(C_i, \mathbf{b}) \subseteq \varphi(C_i, \mathbf{a})$,

$$\Psi(\mathcal{C}_i, \mathcal{S}) := \{ \mathbf{a} \in \mathcal{A}^* \, | \, arphi(\mathcal{C}_i, \mathbf{a}) \subseteq \mathcal{S} \}$$

is an upward closed subset of (A^*, \leq_E) .

• Recover the forks from $\Psi(C_i, S)$:

$$(c,d) \in arphi(C_i,\mathbf{a}) \iff arphi(C_i,\mathbf{a}) \nsubseteq (A imes A) \setminus \{(c,d)\} \ \Leftrightarrow \ \mathbf{a} \notin \Psi(C_i,(A imes A) \setminus \{(c,d)\}).$$

Hence: if we know $\Psi(C_i, S)$ for all $S \subseteq A \times A$, we can recover all forks.

- 1. Let C be a linearly order set of clones on A with the same Mal'cev operation.
- 2. We can "store" each $C \in C$ by

 $\langle \Psi(C,S) | S \subseteq A \times A \rangle.$

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- 3. Each $\Psi(C, S)$ is an upward closed set of (A^*, \leq_E) and has only *finitely many minimal elements*
- 4. Hence C is countable!

Chain conditions for sets of clones

DCC for Mal'cev clones

Lemma

Let \mathbbm{L} be a linearly order set of Mal'cev clones. Then the mapping

$$\begin{array}{rcl} r & : & \mathbb{L} & \longrightarrow & (\mathcal{U}(A^*, \leq_E))^{2^A} \\ & & C & \longmapsto & \Psi(C, S) = \langle \left\{ \mathbf{x} \in A^* \mid \varphi(C, \mathbf{x}) \subseteq S \right\} \mid S \subseteq A \rangle \end{array}$$

is injective and inverts the ordering.

Consequence

Let A be a finite set, d a Mal'cev operation. There is no infinite descending chain of clones on A that contain d.

Proof: Such a chain produces an infinite ascending chain in $(\mathcal{U}(A^*, \leq_E))^{2^A}$, and hence in $\mathcal{U}(A^*, \leq_E)$. Contradiction.

Theorem [AMM14]

Let A be a finite set, and let \mathcal{M} be the set of all Mal'cev clones on A. Then we have:

- 1. There is no infinite descending chain in (\mathcal{M}, \subseteq) .
- 2. For every Mal'cev clone *C*, there is a finitary relation ρ on *A* such that $C = Pol(\{\rho\})$.

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3. The set $\ensuremath{\mathcal{M}}$ is finite or countably infinite.

Mal'cev algebras

- 1. Up to term equivalence and renaming of elements, there are only countably many finite Mal'cev algebras.
- 2. Every finite Mal'cev algebra can be represented by a single finitary relation.

Corollary – The clone lattice above a Mal'cev clone

Let *C* be a Mal'cev clone on a finite set *A*.

- 1. The interval $\mathbb{I}[C, O(A)]$ has finitely many atoms.
- 2. every clone *D* with $C \subset D$ contains one of these atoms,
- 3. If $\mathbb{I}[C, O(A)]$ is infinite, it contains a clone that is not finitely generated (cf. König's Lemma).

From Mal'cev terms to edge terms

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Edge operations

For $k \ge 3$, a (k + 1)-ary operation is a *k*-edge operation on *A* if for all $a, b \in A$:

$$t(a, a, b, b, b, \dots, b) = b$$

$$t(b, a, a, b, b, \dots, b) = b$$

$$t(b, b, b, a, b, \dots, b) = b$$

$$\vdots$$

$$t(b, b, b, b, b, \dots, a) = b$$

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(still wrong!)

Edge operations

For $k \ge 3$, a (k + 1)-ary operation is a *k*-edge operation on *A* if for all $a, b \in A$:

$$t(a, a, b, b, b, \dots, b) = b$$

$$t(a, b, a, b, b, \dots, b) = b$$

$$t(b, b, b, a, b, \dots, b) = b$$

...

$$t(b, b, b, b, b, \dots, a) = b$$

Examples of edge operations

Edge operation

- *d* Mal'cev. Then t(x, y, z) := d(y, x, z) is 2-edge.
- *m* majority. Then $t(x_1, x_2, x_3, x_4) := m(x_2, x_3, x_4)$ is 3-edge.
- ► *f n*-ary near-unanimity. Then $t(x_0, ..., x_n) := f(x_1, ..., x_n)$ is *n*-edge.

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Theorem - Edge terms and few subpowers [BIM+10]

Let **A** be a finite algebra. TFAE:

- A has an edge term.
- ▶ \exists polynomial $p \in \mathbb{R}[t]$:

 $\forall n \in \mathbb{N} : |\operatorname{Sub}(\mathbf{A}^n)| \leq 2^{p(n)}.$

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The fork lemmas

$$F \leq A^m, i \in \{1, \dots, m\}.$$

$$\varphi_i(F) := \{(a_i, b_i) \mid \begin{array}{ll} (a_1, \dots, a_{i-1}, a_i, a_{i+1}, \dots, a_m) & \in F \text{ and} \\ (a_1, \dots, a_{i-1}, b_i, b_{i+1}, \dots, b_m) & \in F \end{array} \}.$$

Fork Lemma - Mal'cev Let $k, m \in \mathbb{N}, k \ge 2$, and let **A** be an algebra with a Mal'cev term. Let F, G be subuniverses of **A**^m with $F \subseteq G$. Assume

•
$$\varphi_i(G) = \varphi_i(F)$$
 for all $i \in \{1, \ldots, m\}$.

Then F = G.

Fork Lemma - Edge [BIM⁺10, Cor. 3.9], [AM15, Lemma 4.2]

Let $k, m \in \mathbb{N}, k \ge 2$, and let **A** be an algebra with a *k*-edge term. Let *F*, *G* be subuniverses of **A**^{*m*} with $F \subseteq G$. Assume

•
$$\varphi_i(G) = \varphi_i(F)$$
 for all $i \in \{1, \ldots, m\}$ and

• $\pi_T(F) = \pi_T(G)$ for all $T \subseteq \{1, \ldots, m\}$ with $|T| \le k - 1$.

Then F = G.

Fork lemma for clones

Fork lemma for clones with Mal'cev operation [AMM14] Let *C*, *D* clones on *A* containing a Mal'cev operation. Assume:

•
$$C \subseteq D$$
,

•
$$arphi({m C},{f a})=arphi({m D},{f a})$$
 for all ${f a}\in{m A}^*,$

Then C = D.

Fork lemma for clones with edge operation [AM14]

Let *C*, *D* clones on *A* containing a *k*-edge operation, t := |A|. Assume:

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•
$$C \subseteq D$$
,

▶
$$\varphi(C, \mathbf{a}) = \varphi(D, \mathbf{a})$$
 for all $\mathbf{a} \in A^*$,
▶ $C^{[t^{k-1}]} \subseteq D^{[t^{k-1}]}$.

Then C = D.

Consequence

A finite set, *e* edge operation. There is no $C_1 \supset C_2 \supset C_3 \supset \cdots$ of clones on *A* containing *e*.

Proof:

- There are only finitely many t^{k-1} -ary parts of clones on A.
- One of those appears infinitely often in $C_1 \supset C_2 \supset C_3 \supset \cdots$.
- ► Taking only those clones, we obtain a strictly ascending chain of upward closed subsets of (A*, ≤_E).

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Contradiction to order theory.

Theorem [AMM14]

Let *A* be a finite set, let $k \in \mathbb{N}$, k > 1, and let \mathcal{M}_k be the set of all clones on *A* that contain a *k*-edge operation. Then we have:

For every clone C in M_k, there is a finitary relation R on A such that C = Pol(A, {R}).

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- 2. There is no infinite descending chain in $(\mathcal{M}_k, \subseteq)$.
- 3. The set \mathcal{M}_k is finite if $|A| \leq 3$ and countably infinite otherwise.

Varieties

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Question

Are subvarieties of finitely generated varieties again finitely generated?

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Answer

- Sometimes yes.
- Sometimes no.

We will study:

- classes of algebras of with the same operation symbols (of the same type) F.
- Example: $\mathcal{F} := \{\cdot, -1, 1\}, K := \text{class of all groups.}$
- *identities*: $s(x_1, \ldots, x_k) \approx t(x_1, \ldots, x_k)$.
- Example: $\Phi = \{ (x \cdot y) \cdot z \approx x \cdot (y \cdot z), \ 1 \cdot x \approx x, \ x^{-1} \cdot x \approx 1, \ x^6 \approx y^{15} \}.$

- ► Validity of identities in an algebra **A** of type *F*.
- Example: $\mathbf{A} \models \Phi \Leftrightarrow \mathbf{A}$ is a group of exponent 1 or 3.

Varieties

Theorem [Bir35, Theorem 10]

Let *K* be a nonempty class of algebras of the same type \mathcal{F} . TFAE:

- 1. \exists set of identities Φ : $K = \{ \mathbf{A} \mid \mathbf{A} \text{ is of type } \mathcal{F} \text{ and } \mathbf{A} \models \Phi \}$. (*Meaning: K* can defined using identities.)
- 2. K is closed under taking
 - ▶ III homomorphic images
 - S subalgebras
 - P cartesian products.

A class K of algebras that can be defined by a set of identities is called a *variety*.

Definition

A algebra. $\mathbb{V}(A) :=$ the smallest variety that contains A.

Theorem $\mathbb{V}(\mathbf{A}) = \mathbb{HSP}(\mathbf{A}).$

Theorem

 $\mathbf{B} \in \mathbb{V}(\mathbf{A})$ if and only if $\forall s, t : \mathbf{A} \models s \approx t \Rightarrow \mathbf{B} \models s \approx t$.

Definition

A variety *V* is *finitely generated* : \Leftrightarrow there is a finite algebra **A** with $V = \mathbb{V}(\mathbf{A})$.

Theorem [Jón67]

Let ${\bf L}$ be a finite lattice. Then every subvariety of $\mathbb{V}({\bf L})$ is finitely generated.

Proof: $\mathbb{V}(L)$ contains, up to isomorphism, only finitely many subdirectly irreducible lattices (Jónsson's Lemma).

Theorem [OP64]

Let ${\bf G}$ be a finite group. Then every subvariety of $\mathbb{V}({\bf G})$ is finitely generated.

Proof: $\mathbb{V}(G)$ contains, up to isomorphism, only finitely many groups H with $H \notin \mathbb{V}(\{A \mid A \in \mathbb{V}(H), |A| < |H|\})$. (Long proof using "critical groups".)

Note that both $\mathbb{V}(\mathbf{G})$ and $\mathbb{V}(\mathbf{L})$ contain only *finitely many* subvarieties.

Theorem [Bry82]

There is an expansion of a finite group with one constant operation such that the variety generated by this algebra has infinitely many subvarieties.

They might all be finitely generated, though.

Theorem [OMVL78]

There is a three-element algebra $\mathbf{M} = (M, *, c)$ such that $\mathbb{V}(\mathbf{M})$ has subvarieties that are not finitely generated

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Recognizing finitely generated subvarieties

Lemma [OMVL78]

V finitely generated variety. TFAE:

- 1. The subvarieties of V, ordered by \subseteq , satisfy (ACC).
- 2. Every subvariety of V is finitely generated.

Proof of (1) \Rightarrow (2):

- 1. Let *W* be not finitely generated. Pick a finite $A_1 \in W$.
- 2. Since $V(\mathbf{A}_1)$ is f.g., $V(\mathbf{A}_1) \subset W$.
- 3. Since *W* is generated by its finite members, there is a finite $A_2 \in W$, $A_2 \notin V(A_1)$.
- 4. $V(\mathbf{A}_1) \subset V(\mathbf{A}_1 \times \mathbf{A}_2) \subset V(\mathbf{A}_1 \times \mathbf{A}_2 \times \mathbf{A}_3) \subset \cdots$ is a failure of (ACC).
The equational theory of subvarieties

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Definition [AM14]

A algebra, W subvariety of $\mathbb{V}(\mathbf{A})$.

$$\mathsf{Th}_{\mathbf{A}}(W) := \{(a_1, \ldots, a_k) \mapsto \left(egin{array}{c} s^{\mathbf{A}}(\mathbf{a}) \ t^{\mathbf{A}}(\mathbf{a}) \end{array}\right) \mid k \in \mathbb{N},$$

s, *t* are *k*-variable terms in the language of **A** with $W \models s \approx t$ }.

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Examples

Definition [AM14]

A algebra, W subvariety of $\mathbb{V}(\mathbf{A})$.

$$\mathsf{Th}_{\mathsf{A}}(W) := \{(a_1, \ldots, a_k) \mapsto \left(\begin{array}{c} s^{\mathsf{A}}(\mathsf{a}) \\ t^{\mathsf{A}}(\mathsf{a}) \end{array}\right) \mid k \in \mathbb{N},$$

s, t are k-variable terms in the language of **A** with $W \models s \approx t$ }.

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Examples

1. $\operatorname{Th}_{\mathbf{A}}(\mathbb{V}(\mathbf{A})) = \{(t,t) \mid t \in \operatorname{Clo}(\mathbf{A})\}.$

Definition [AM14]

A algebra, W subvariety of $\mathbb{V}(\mathbf{A})$.

$$\mathsf{Th}_{\mathsf{A}}(W) := \{(a_1, \ldots, a_k) \mapsto \left(egin{array}{c} s^{\mathsf{A}}(\mathsf{a}) \ t^{\mathsf{A}}(\mathsf{a}) \end{array}
ight) \mid k \in \mathbb{N},$$

s, t are k-variable terms in the language of **A** with $W \models s \approx t$ }.

Examples

1.
$$\operatorname{Th}_{\mathbf{A}}(\mathbb{V}(\mathbf{A})) = \{(t,t) \mid t \in \operatorname{Clo}(\mathbf{A})\}.$$

2. $\mathbf{A} := \mathbf{S}_3, W := \{\mathbf{G} \in \mathbb{V}(\mathbf{S}_3) \mid \mathbf{G} \text{ is abelian}\}.$ Then $(\begin{pmatrix} \pi_1 \\ \pi_2 \end{pmatrix}) \mapsto \begin{pmatrix} \pi_1^{-1} \circ \pi_2 \circ \pi_1 \\ \pi_2 \end{pmatrix}) \in \operatorname{Th}_{\mathbf{S}_3}(W).$

Definition [AM14]

A algebra, W subvariety of $\mathbb{V}(\mathbf{A})$.

$$\mathsf{Th}_{\mathsf{A}}(W) := \{(a_1, \ldots, a_k) \mapsto \left(egin{array}{c} s^{\mathsf{A}}(\mathsf{a}) \ t^{\mathsf{A}}(\mathsf{a}) \end{array}
ight) \mid k \in \mathbb{N},$$

s, t are k-variable terms in the language of **A** with $W \models s \approx t$ }.

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Examples

- 1. $\operatorname{Th}_{\mathbf{A}}(\mathbb{V}(\mathbf{A})) = \{(t,t) \mid t \in \operatorname{Clo}(\mathbf{A})\}.$
- 2. $\mathbf{A} := \mathbf{S}_3, \ W := \{\mathbf{G} \in \mathbb{V}(\mathbf{S}_3) \mid \mathbf{G} \text{ is abelian}\}.$ Then $\left(\begin{pmatrix} \pi_1 \\ \pi_2 \end{pmatrix} \mapsto \begin{pmatrix} \pi_1^{-1} \circ \pi_2 \circ \pi_1 \\ \pi_2 \end{pmatrix}\right) \in \mathsf{Th}_{\mathbf{S}_3}(W).$

3. $W := \text{class of one element algebras of type } \mathcal{F}$. Then $\text{Th}_{\mathbf{A}}(W) = \{(s, t) \mid k \in \mathbb{N}, s, t \in \text{Clo}_{k}(\mathbf{A})\}.$

Kernels

Definition [AM14]

A algebra, W subvariety of $\mathbb{V}(\mathbf{A})$.

$$\mathsf{Th}_{\mathbf{A}}(W) := \{ (a_1, \dots, a_k) \mapsto \begin{pmatrix} s^{\mathbf{A}}(\mathbf{a}) \\ t^{\mathbf{A}}(\mathbf{a}) \end{pmatrix} \mid k \in \mathbb{N},$$

s, t are k-variable terms in the language of **A**

with $W \models s \approx t$ }.

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Remark:

Let $\mathbf{F} := \mathbf{Free}_{W}(\aleph_0)$. Th_A(*W*) is something like the "kernel" of the "homomorphism"

$$\begin{array}{rcl} \omega & : & \mathsf{Clo}(\mathbf{A}) & \longrightarrow & \mathbf{F} \\ & & s^{\mathbf{A}} & \longmapsto & [s]. \end{array}$$

Distinguishing subvarieties of $\mathbb{V}(\mathbf{A})$ inside A

Lemma

A be algebra, W_1 and W_2 subvarieties of $\mathbb{V}(\mathbf{A})$. Then we have:

 $W_1 \subseteq W_2$ if and only if $\operatorname{Th}_{\mathbf{A}}(W_2) \subseteq \operatorname{Th}_{\mathbf{A}}(W_1)$.

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What is

$$\begin{aligned} \mathsf{Th}_{\mathbf{A}}(W) &= \{ (a_1, \dots, a_k) \mapsto \left(\begin{array}{c} s^{\mathbf{A}}(\mathbf{a}) \\ t^{\mathbf{A}}(\mathbf{a}) \end{array} \right) \mid k \in \mathbb{N}, \\ s, t \text{ are } k \text{-variable terms in the language of } \mathbf{A} \\ & \text{ with } W \models s \approx t \} \, ? \end{aligned}$$

 $\operatorname{Th}_{\mathbf{A}}(W)$ is a clonoid with source set A and target algebra $\mathbf{A} \times \mathbf{A}$.

Definition of Clonoids

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Definition

B algebra, A nonempty set, $C \subseteq \bigcup_{n \in \mathbb{N}} B^{A^n}$. C is a clonoid with source set A and target algebra **B** if

- 1. for all $k \in \mathbb{N}$: $C^{[k]}$ is a subuniverse of $\mathbf{B}^{\mathcal{A}^k}$, and
- 2. for all $k, n \in \mathbb{N}$, for all $(i_1, \ldots, i_k) \in \{1, \ldots, n\}^k$, and for all $c \in C^{[k]}$, the function $c' : A^n \to B$ defined by

$$c'(a_1,\ldots,a_n):=c(a_{i_1},\ldots,a_{i_k})$$

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satisfies $c' \in C^{[n]}$.

We represent a clonoid *C* with source set $A = \{a_1, ..., a_t\}$ and target algebra **B** using forks.

Definition (forks of \mathbf{B}^{A^n} at **a**) For $\mathbf{a} \in A^n$, let

$$\begin{split} \varphi(\mathcal{C},\mathbf{a}) &:= \{ \left(f_1(\mathbf{a}), f_2(\mathbf{a}) \right) \in B \times B | \\ f_1(\mathbf{z}) &= f_2(\mathbf{z}) \text{ for all } \mathbf{z} \in \mathcal{A}^n \text{ with } \mathbf{z} <_{\text{lex}} \mathbf{a} \}. \end{split}$$

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Fork Lemma for Clonoids - Mal'cev term

A finite set, **B** finite algebra with Mal'cev term, C, D clonoids with source set A and target algebra **B**. Assume

1.
$$C \subseteq D$$
,

2.
$$arphi({\mathcal C},{\mathbf a})=arphi({\mathcal D},{\mathbf a})$$
 for all ${\mathbf a}\in{\mathcal A}^*.$

Then C = D.

Fork Lemma for Clonoids - Edge term

A finite set, **B** finite algebra with k-edge term, C, D clonoids with source set A and target algebra **B**. Assume

2.
$$arphi(\mathcal{C},\mathbf{a})=arphi(\mathcal{D},\mathbf{a})$$
 for all $\mathbf{a}\in\mathcal{A}^{*},$

3.
$$C^{[|A|^{k-1}]} = D^{[|A|^{k-1}]}$$
.

Then C = D.

Theorem [AM14]

A finite set, **B** finite algebra with edge term. $C := \{C \mid C \text{ is clonoid with source } A \text{ and target } B\}.$ Then (C, \subseteq) satisfies the (DCC).

Theorem [AM14]

A finite algebra with edge term, $\mathcal{W}:=$ subvarieties of $\mathbb{V}(\textbf{A}).$ Then:

- (W, \subseteq) satisfies the (ACC).
- Every subvariety of $\mathbb{V}(\mathbf{A})$ is finitely generated.

Proof: From $W_1 \subset W_2 \subset \cdots$, we obtain $\text{Th}_{\mathbf{A}}(W_1) \supset \text{Th}_{\mathbf{A}}(W_2) \supset \cdots$, which is an infinite descending chains of clonoids with source *A* and target $\mathbf{B} := \mathbf{A} \times \mathbf{A}$. Contradiction.

(DCC) for subvarieties

Theorem [AM14]

A finite algebra with edge term. Then every subvariety of $\mathbb{V}(\textbf{A})$ is finitely generated.

Corollary [AM14]

A finite algebra with an edge term. Then the following are equivalent:

- 1. There is no infinite descending chain of subvarieties of $\mathbb{V}(\mathbf{A})$.
- 2. Each $\mathbf{B} \in \mathbb{V}(\mathbf{A})$ is finitely based relative to $\mathbb{V}(\mathbf{A})$.
- 3. $\mathbb{V}(\mathbf{A})$ has only finitely many subvarieties.
- 4. 𝔍(**A**) contains, up to isomorphism, only finitely many cardinality critical members.

B is cardinality critical : \Leftrightarrow **B** \notin $\mathbb{V}(\{C \mid C \in \mathbb{V}(B), |C| < |B|\})$.

Higher Commutators

Lemma

Let $\mathbf{V} = (V, +, -, 0, F)$ be an expanded group. Then

- Every congruence α is determined by $0/\alpha$.
- 0-classes of congruences are called *ideals*.

Definition

Pol(V) is the clone generated by the (unary) constants and the fundamental operations of V.

Higher commutators

Definition Let $p \in Pol_n \mathbf{V}$. p is absorbing : \Leftrightarrow for all $x_1, \ldots, x_n \in \mathbf{V}$:

$$0 \in \{x_1,\ldots,x_n\} \Rightarrow f(x_1,\ldots,x_n) = 0.$$

Theorem [Hig67, BB87], cf. [Aic14] Let **V** be a finite expanded group.

$$\begin{array}{lll} a_n(\mathbf{V}) & := & \log_2(|\{p \in \operatorname{Clo}_n(\mathbf{V}) \mid p \text{ is absorbing}\}|) \\ t_n(\mathbf{V}) & := & \log_2(|\operatorname{Clo}_n(\mathbf{V})|). \end{array}$$

Then for each $n \in \mathbb{N}_0$, we have

$$t_n(\mathbf{V}) = \sum_{i=0}^n a_i(\mathbf{V}) \binom{n}{i}.$$

Definition [Bul01, Mud09, AM10] Let A_1, \ldots, A_n be ideals of **V**. Then $[A_1, \ldots, A_n]$ is the ideal generated by

 $\{p(a_1,\ldots,a_n) \mid p \text{ absorbing polynomial}, a_1 \in A_1,\ldots,a_n \in A_n\}.$

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generators = { $p(a_1, ..., a_n) | p$ absorbing, $\forall i : a_i \in A_i$ } Higher Commutator Laws [Mud09, AM10]

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Higher Commutators and Forks: a connection to be explored

Lemma

Let C = Clo(V), V expanded group. Let $\mathbf{b} \in V^*$, and let $\mathbf{a} \in V^*$ be the word obtained from **b** by eliminating all 0 entries. Sort V such that 0 is smallest. Then

- $\blacktriangleright F(C,\mathbf{a})=F(C,\mathbf{b}),$
- For every witness f of x ∈ F(C, a), there is a function g in the clone generated by {f, 0} that witnesses x ∈ F(C, b).

Observation

If $\mathbf{a} \in (V \setminus \{0\})^*$ and $\mathbf{a} = (a_1, \dots, a_n)$, then $F(C, \mathbf{a}) \in [V, \dots, V]$ (*n* times).

Corollary

If $[V, \ldots, V] = 0$ (*n* times), then Clo(V) is finitely generated.

Other connections

► $F(C, a_1a_2a_3a_4a_5) \ge [F(C, a_1a_2a_4), F(C, a_2a_3a_5)].$

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Where to continue

What could be added:

Connections between witnesses of forks.

Open problems

- existence of infinite antichains of Mal'cev clones on a finite set,
- existence of a finite set with infinitely many not finitely generated Mal'cev clones (open as far as I know),
- bound of the size of the relation determining a Mal'cev clone.

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