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# The Cardinality of Some Symmetric Differences

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# Linear codes

- $F$ : a finite field.
- $V$ : an  $n$  dimensional vector space over  $F$ .
- $B$ : a fixed ordered basis, for convenience, take the standard basis.
- Any subspace  $\mathcal{C}$  of  $V$  is a linear code.
- The (Hamming) distance  $d(v_1, v_2)$  of two vectors  $v_1 = (a_1, \dots, a_n)$  and  $v_2 = (b_1, \dots, b_n)$  in  $\mathcal{C}$  is the number of  $i$ 's such that  $a_i \neq b_i$ .
- The minimal distance  $d = d_{\mathcal{C}}$  is  $\min\{d(v_1, v_2) \mid v_1, v_2 \in \mathcal{C} \text{ and } v_1 \neq v_2\}$ .
- The weight  $\text{wt}(v)$  of  $v = (a_1, \dots, a_n) \in V$  is the number of  $i$ 's with  $a_i \neq 0$ .
- $d_{\mathcal{C}} = \min\{\text{wt}(v) \mid v \in \mathcal{C}, v \neq 0\}$ .

# Linear codes

A linear code with minimal distance  $d$  can correct up to  $\lfloor \frac{d-1}{2} \rfloor$  errors, and there is a standard way of doing it.

The goal of coding theory is to find codes in vector spaces  $V$  of dimension  $n$

- - with smaller  $n$  yet large minimal distance  $d$ , and
  - with some easy ways of encoding and decoding.

# Multiplication codes (Cyclic codes)

- $f = x^n - 1$  in  $F[x]$  and  $J = (f)$  the ideal generated by  $f$ .
- $V = F[x]/J$ , which is a principal ideal ring as well a vector space of dimension  $n$  over  $F$ .
- Take any nonzero  $g \in F[x]$  of degree  $n - m$ .
- The the ideal  $\mathcal{C}$  in  $V$  generated by  $\bar{g} = g + J$  is a subspace of  $V$  of dimension  $m$ .
- A word  $(a_0, \dots, a_{m-1}) \in F^m$  is identified as the polynomial  $a_0 + a_1x + \dots + a_{m-1}x^{m-1}$  of degree at most  $m - 1$ .
- A polynomial  $h \in F[x]$  of degree at most  $m - 1$  is encoded as  $h \cdot \bar{g} + J$  in  $V$ . This makes  $\mathcal{C}$  a *multiplication code*.
- Given a polynomial  $k \in F[x]$  of degree at most  $n - 1$ . Then  $k + J$  is in  $\mathcal{C}$  if and only if  $k \equiv g \cdot h \pmod{x^n - 1}$ .

# Multiplication codes (Cyclic codes)

- Take  $h = a_0 + a_1x + \cdots + a_{n-1}x^{n-1} \in F[x]$  such that  $\overline{h(x)} \in \mathcal{C}$ . Then  $\bar{x} \cdot \overline{h}$  since  $\mathcal{C}$  is an ideal.
- Since  $x^n \equiv 1$  in  $V$ ,  
 $xh \equiv a_{n-1} + a_0x + \cdots + a_{n-2}x^{n-1} \pmod{x^n - 1}$ .  
Thus  $a_{n-1} + a_0x + \cdots + a_{n-2}x^{n-1} + J$  is a codeword as well.  
This makes  $\mathcal{C}$  a cyclic code.
- Cyclic codes are easy in computation. With suitable choices of  $g$ , one can get better decoding algorithm.
- Many important codes are binary multiplication codes (e.g. BCH codes and Reed-Solomon codes).
- The Reed-Solomon code  $RS(2^r, d)$  uses  $g$  of degree  $d - 1$ , and has a minimal distance  $d$ .

# Composition codes

- Let  $f = x + x^2 + \dots + x^k \in \mathbb{Z}_2[x]$ . Let  $m \geq 2$  and  $n = km$ .
- Let  $\mathcal{C} = C(f, m)$  be the subspace of  $V = \{a_1x + \dots + a_nx^n \mid a_i \in \mathbb{Z}_2\}$  generated by  $f \circ x, f \circ x^2, \dots, f \circ x^m$ .
- A word  $(a_1, \dots, a_m) \in \mathbb{Z}_2^m$  is encoded as

$$\begin{aligned} & a_1f \circ x + a_2f \circ x^2 + \dots + a_mf \circ x^m \\ &= a_1(x + x^2 + \dots + x^r) + a_2(x^2 + x^4 + \dots + x^{2k}) + \dots \\ & \quad + a_m(x^m + x^{2m} + \dots + x^{mk}) \in V. \end{aligned}$$

- $\mathcal{C}$  is referred as a composition code, and a general theory has been studied by Fuchs (1992).
- The minimal distance  $d_{\mathcal{C}}$  is  $k$  if  $k \leq 6$  [Pilz 1992].

# A general question

- What is the minimal distance  $d_C$  in general? Equivalently, what is the minimal weight of nonzero codewords?

The answer is not known except for  $k \leq 6$ .

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- For a codeword  $v = a_1 f \circ x + a_2 f \circ x^2 + \dots + a_m f \circ x^m \in C$ ,  $\text{wt}(v)$  is the cardinality of the symmetric differences

$$\{x^{i_1}, \dots, x^{i_1 k}\} \Delta \{x^{i_2}, \dots, x^{i_2 k}\} \Delta \dots \Delta \{x^{i_s}, \dots, x^{i_s k}\}$$

where  $\{i_1, \dots, i_s\} = \{i \mid a_i \neq 0\}$ .

- For example, if  $f = x + x^2 + x^3$  and  $v = f \circ x + f \circ x^3$ , then  $\text{wt}(v) = 4$ :

$$\begin{aligned} v &= (x + x^2 + x^3) \circ x + (x + x^2 + x^3) \circ x^3 \\ &= x + x^2 + x^6 + x^9. \end{aligned}$$

- $\{x, x^2, x^3\} \Delta \{x^3, x^6, x^9\} = \{x, x^2, x^6, x^9\}$ .

# The 1-2-3 Conjecture

- A special situation: what is the weight of the codeword  $f \circ x + f \circ x^2 + \dots + f \circ x^m$ ? That is, what is the cardinality of

$$\{x^1, \dots, x^k\} \Delta \{x^2, \dots, x^{2k}\} \Delta \dots \Delta \{x^m, \dots, x^{mk}\}?$$

## The 1-2-3 Conjecture

For all  $m$ , the cardinality of the symmetric differences

$$\{x^1, \dots, x^k\} \Delta \{x^2, \dots, x^{2k}\} \Delta \dots \Delta \{x^m, \dots, x^{mk}\}$$

is at less  $k$ .

- True for  $k = 7$  and  $k = 8$  [E. Fried (Budapest)].
- True for  $k \geq 10^{12}$  [P. Fuchs (Linz)].



# The 1-2-3 Conjecture

## The Restricted 1-2-3 Conjecture

For  $k \leq m$ , the cardinality of the symmetric differences

$$\{x^1, \dots, x^k\} \Delta \{x^2, \dots, x^{2k}\} \Delta \dots \Delta \{x^m, \dots, x^{mk}\}$$

is at less  $k$ .

# The Theorem

## Theorem (Huang, Pilz, K)

*For  $k \leq m$ , the cardinality of the symmetric differences*

$$\{1, \dots, k\} \Delta \{2, \dots, 2k\} \Delta \dots \Delta \{m, \dots, mk\}$$

*is at less  $m$ .*

# Notation for the proof

- $I_k := \{1, 2, \dots, k\}$ .
- For  $s \in \mathbb{N}$ ,  $sI_k := \{s, 2s, \dots, ks\}$ .
- For  $1 \leq u < v$ ,

$$D_{k \times [u,v]} := uI_k \Delta (u+1)I_k \Delta \dots \Delta vI_k.$$

- $D_{k \times v} := D_{k \times [1,v]}$  and  $d_k(v) = |D_{k \times v}|$ .
- If  $1 < s < v$ , then

$$D_{k \times v} = D_{k \times s} \Delta D_{k \times [s+1,v]}.$$

- $D_{k \times v} = D_{v \times k}$  for all  $k$  and  $v$  and  $|D_{k \times k}| = k$ .

# Case 1. $k < m = k + w \leq 2k$

Assume that  $k < m = k + w \leq 2k$ .

- The goal is to find that  $|D_{k \times [k+1, k+w]}| \geq 3k$ .
- If  $\ell = \gcd(s, t)$ , then  $|sI_k \cap tI_k| \leq \ell - 1$ .  
The number of cancelations taking place in  $sI_k \Delta tI_k$  is at most  $2(\ell - 1)$ .
- There are at most  $\lceil \frac{w}{\ell} \rceil$   $a$ 's with  $\ell \mid a$  and  $k + 1 \leq a \leq k + w$ .
- The total number of cancelations occurring in  $(k + 1)I_k \Delta \dots \Delta (k + w)I_k$  is at most 
$$\sum_{\ell=2}^{w-1} \binom{\lceil \frac{w}{\ell} \rceil}{2} \cdot 2(\ell - 1) < 2w^2 \cdot \ln(w - 1)$$
.
- There are at least  $kw - 2w^2 \ln(w - 1)$  elements in  $D_{k \times [k+1, k+w]}$ .

## Case 1. $k < m = k + w \leq 2k$

- Now,  $|D_{k \times (k+w)}| \geq 2k \Leftrightarrow kw - 2w^2 \cdot \ln(w-1) \geq 3k \Leftrightarrow k \geq \frac{2w^2 \cdot \ln(w-1)}{w-3}$ .
- For each given  $k$ , set  $w_k = \max\{w \geq 1 \mid w \text{ satisfies } k \geq \frac{2w^2 \cdot \ln(w-1)}{w-3}\}$ .
- $f(x) = \frac{2x^2 \cdot \ln(x-1)}{x-3}$  is increasing for  $x \geq 5$ .

### Lemma

Suppose that  $w_k \geq 5$  and that there are two distinct primes among  $k+1, \dots, k+w_k$ . Then  $D_{k \times (k+w)}$  has at least  $2k$  elements for all  $w$  with  $5 \leq w \leq k$ .

## Case 1. $k < m = k + w \leq 2k$

- A *prime gap* is the difference between two successive prime numbers.
- One writes  $g(p)$  for the the gap  $q - p$ , where  $q$  is the next prime to  $p$ . E.g.  $g(11) = 13 - 11 = 2$ .
- A prime gap is *maximal* if it is larger than all gaps between smaller primes. The  $n$ -th maximal prime gap is denoted by  $g_n$ .
- For example,  $g_1 = 1$ ,  $g_2 = 2$ ,  $g_3 = 4$ ,  $g_4 = 6$ , and  $g_{11} = 9551$ . Thus, for any prime  $p < 9551$ , the prime gap  $g(p)$  is less than 36, and so there must be a prime in the set  $\{p + 1, \dots, p + 36\}$ .
- If  $p$  is prime,  $p > 2k$ , and  $g_t < \frac{w_k}{2}$  a maximal prime gap,  $q$  the smallest prime with  $g(q) = g_t$  and  $p \leq q$ , then there exist at least two primes in  $\{k + 1, k + 2, \dots, k + w_k\}$ .

## Case 1. $k < m = k + w \leq 2k$

Combining  $w_k$  and  $g_n$ , we could argue that

- At least two primes exist between  $k + 1$  and  $k + w_k$  if  $k > 70919$ .
- Any prime  $p \leq 70919$  has  $g(p) \leq 72$ , while for  $2000 < k \leq 70919$ ,  $w_k \geq 189 > 2g(p)$ .
- Any prime  $p \leq 2000$  has  $g(p) \leq 34$ , while for  $600 < k \leq 2000$ ,  $w_k \geq 68 \geq 2g(p)$ .
- Any prime  $p \leq 600$  has  $g(p) \leq 18$ , while for  $300 < k \leq 600$ ,  $w_k \geq 38 > 2g(p)$ .
- For  $k < 300$  and  $k < m \leq 2k$ , a simple computer check shows that  $d_k(m) \geq m$ .

Case 2.  $2k < m$ 

- It suffices to assume that  $m \leq \text{LCM}(l_k)$ .
- Set  $\mathcal{P} = \{p \mid p \text{ is a prime and } \max\{k, \sqrt{m}\} < p \leq m\}$ .
- If  $p \in \mathcal{P}$ , then  $\lfloor \frac{m}{p} \rfloor < m$ , and

$$pl_k \Delta 2pl_k \Delta \dots \Delta \lfloor \frac{m}{p} \rfloor pl_k = p(l_k \Delta 2l_k \Delta \dots \Delta \lfloor \frac{m}{p} \rfloor l_k)$$

which has at less  $\max\{k, \lfloor \frac{m}{p} \rfloor\}$  many elements (induction on  $m$  with  $m = 2k$  as the base).

- If  $p, q \in \mathcal{P}$  are distinct, then  $(sp)l_k \cap (tq)l_k = \emptyset$  for any  $1 \leq s \leq \lfloor \frac{m}{p} \rfloor$  and  $1 \leq t \leq \lfloor \frac{m}{q} \rfloor$ . Thus,

Each  $p \in \mathcal{P}$  contributes at least  $\max\{k, \lfloor \frac{m}{p} \rfloor\}$  elements.

- Goal: Show that  $\sum_{p \in \mathcal{P}} \max\{k, \lfloor \frac{m}{p} \rfloor\} \geq m$ .



Case 2-1.  $2k < m \leq k^2$ 

Assume  $2k < m \leq k^2$ .

- $\max(k, \sqrt{m}) = k$ .
  - $\mathcal{P} = \{p \mid p \text{ is a prime and } k < p \leq m\}$ .
  - $\sum_{p \in \mathcal{P}} \max\{k, \lfloor \frac{m}{p} \rfloor\} = |\mathcal{P}| \cdot k$ .
  - Set  $\lceil \frac{m}{k} \rceil = n$ . Then,  $n \geq 3$  and  $kn \geq m$ .
  - Just have to show that  $|\mathcal{P}| \geq n$ .
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- If  $k \geq 21$ , then  $|\mathcal{P}| = \pi(m) - \pi(k) \geq n$ .
  - For  $1 \leq k \leq 20$ , and  $2k < m \leq k^2$ , we use computer to verify.

Case 2-2.  $k^2 < m$ 

Assume that  $k^2 < m$ .

- $\sum_{p \in \mathcal{P}} \max\{k, \lfloor \frac{m}{p} \rfloor\} \geq \frac{m}{2} + \frac{m}{\ln m} \cdot (k - \ln(k+1) - 2.52)$ .
- $\frac{k - \ln(k+1) - 2.52}{\ln m} \geq \frac{1}{2}$  for  $k \geq 8$ .
- For  $k \leq 7$ , and  $k^2 < m \leq \text{LCM}(I_k)$ , use computer to verify.

Some results used from number theory:

## Theorem

- 1  $2^k \leq \text{LCM}(I_k) \leq 4^k$ .
- 2  $\pi(x) > x / \ln x$  for  $x \geq 17$ .
- 3  $\pi(x) < 1.25506x / \ln x$  for  $x > 1$ .
- 4  $\pi(2x) - \pi(x) > 3x / (5 \ln x)$  for  $x > 20.5$ .

BEWARE: THE BEAST IS STILL OUT THERE.

Go find the minimal distances of the binary composition codes  $C(x + x^2 + \cdots + x^k, m)$  for  $k \geq 7$ .